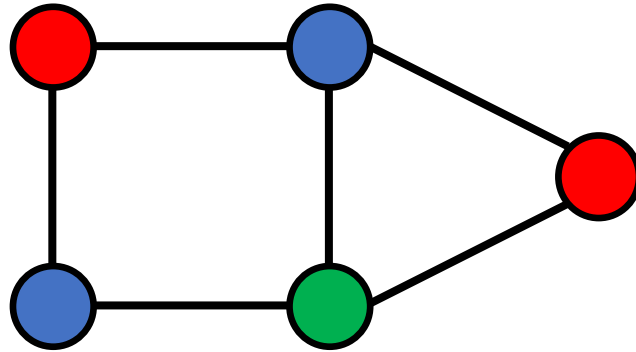


# Randomized Algorithms

## CSCI 532

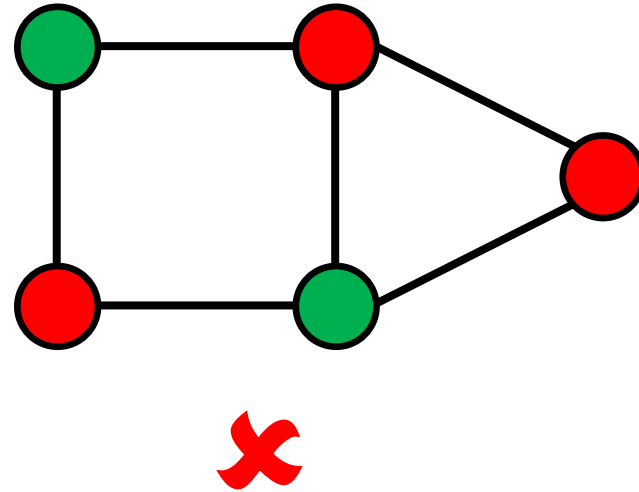
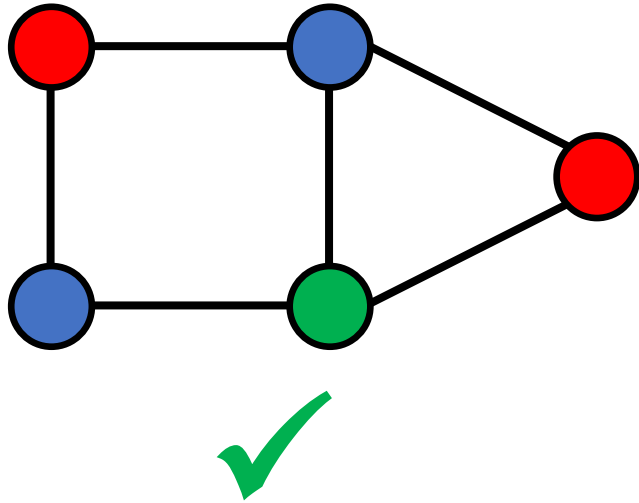
# Graph Coloring

Family of graph problems that involve coloring vertices (or edges) subject to various constraints.



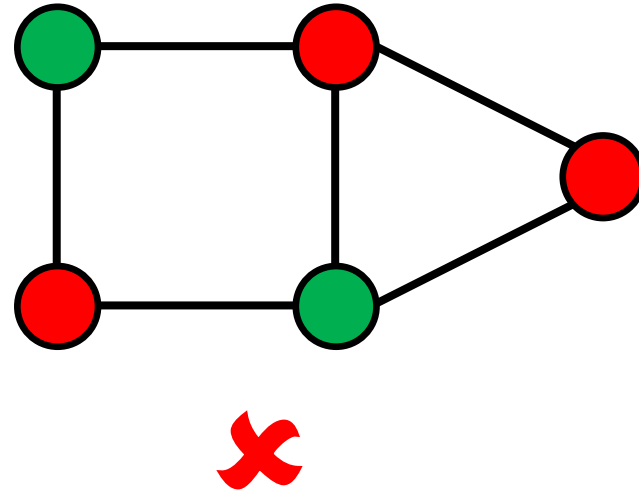
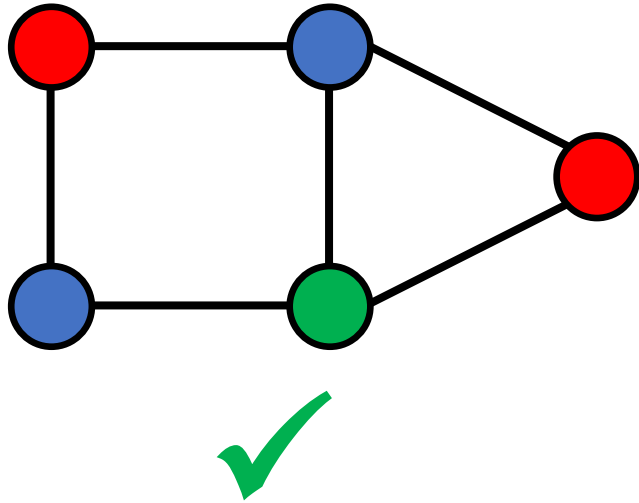
# Graph Coloring

Minimum Vertex Coloring: Color the vertices of a graph using the smallest number of colors so adjacent vertices are different colors.



# Graph Coloring

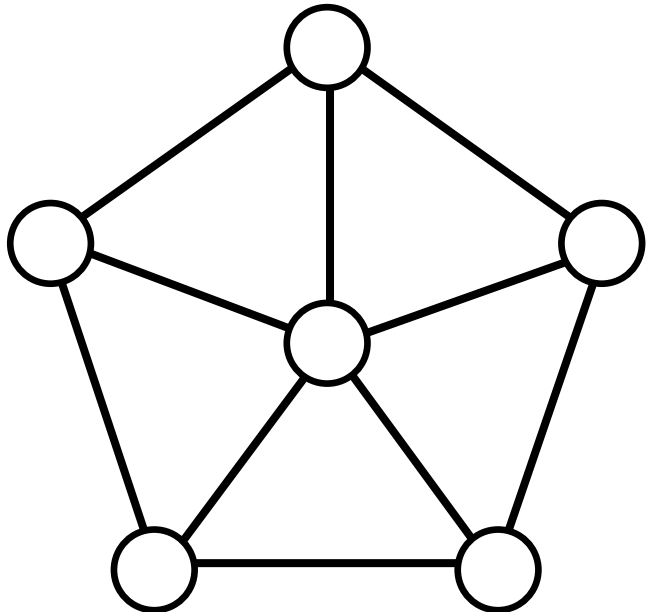
Minimum Vertex Coloring: Color the vertices of a graph using the smallest number of colors so adjacent vertices are different colors.



**NP-Hard**

# Graph Coloring

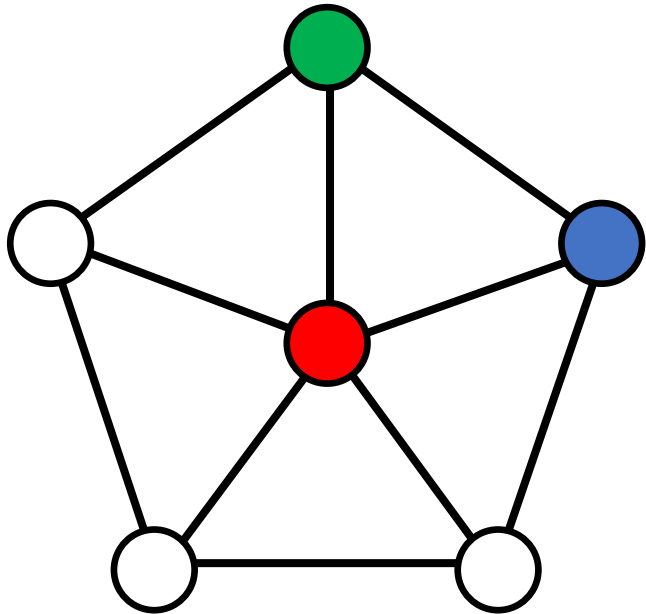
Minimum Vertex Coloring: Color the vertices of a graph using the smallest number of colors so adjacent vertices are different colors.



How many colors are needed to color this graph?

# Graph Coloring

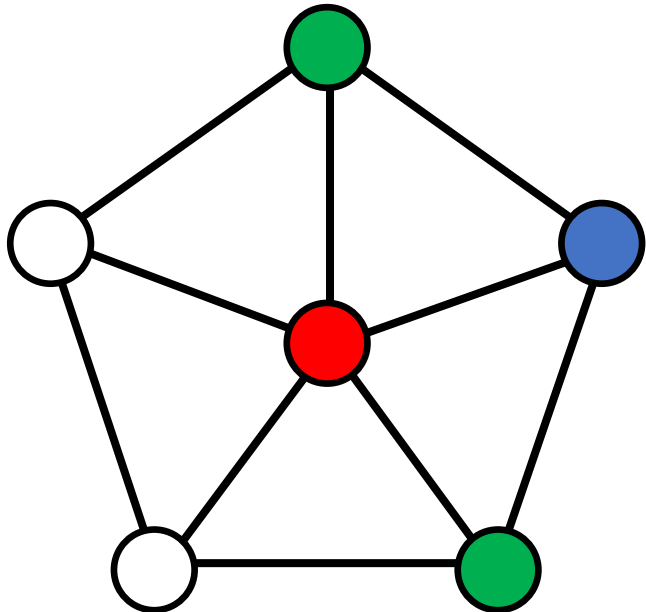
Minimum Vertex Coloring: Color the vertices of a graph using the smallest number of colors so adjacent vertices are different colors.



How many colors are needed to color this graph?

# Graph Coloring

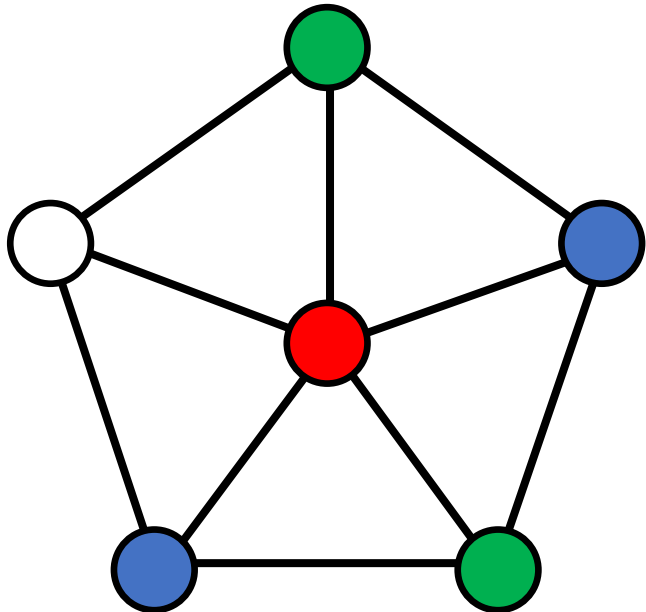
Minimum Vertex Coloring: Color the vertices of a graph using the smallest number of colors so adjacent vertices are different colors.



How many colors are needed to color this graph?

# Graph Coloring

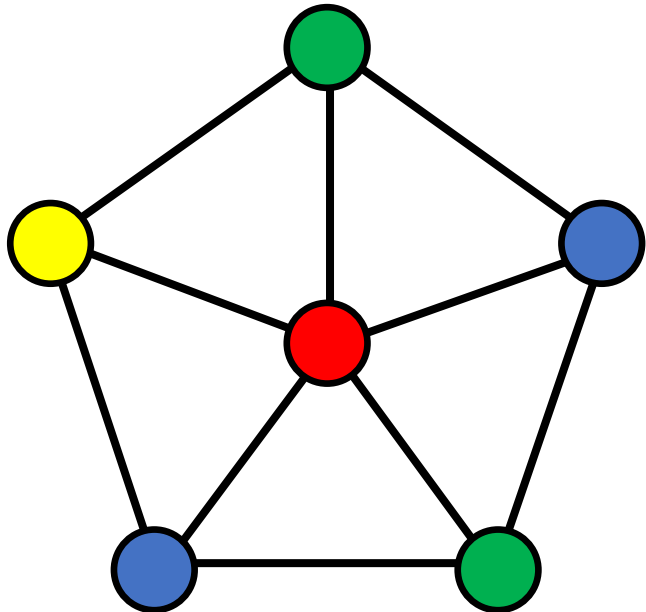
Minimum Vertex Coloring: Color the vertices of a graph using the smallest number of colors so adjacent vertices are different colors.



How many colors are needed to color this graph?

# Graph Coloring

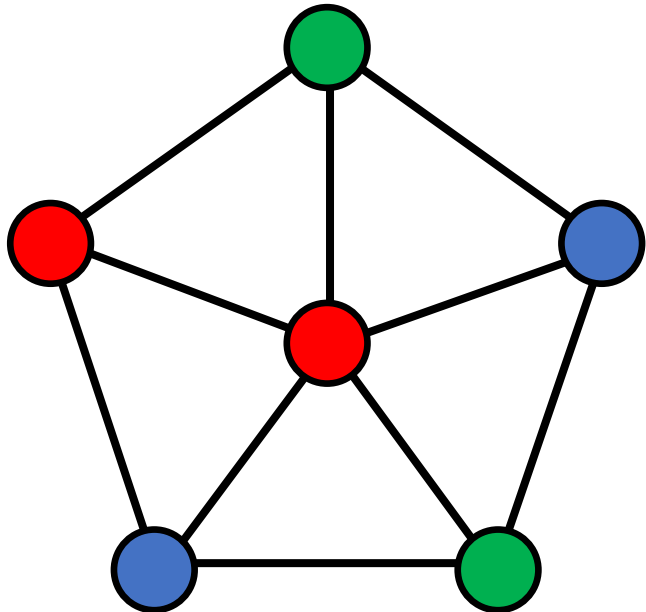
Minimum Vertex Coloring: Color the vertices of a graph using the smallest number of colors so adjacent vertices are different colors.



How many colors are needed to color this graph?

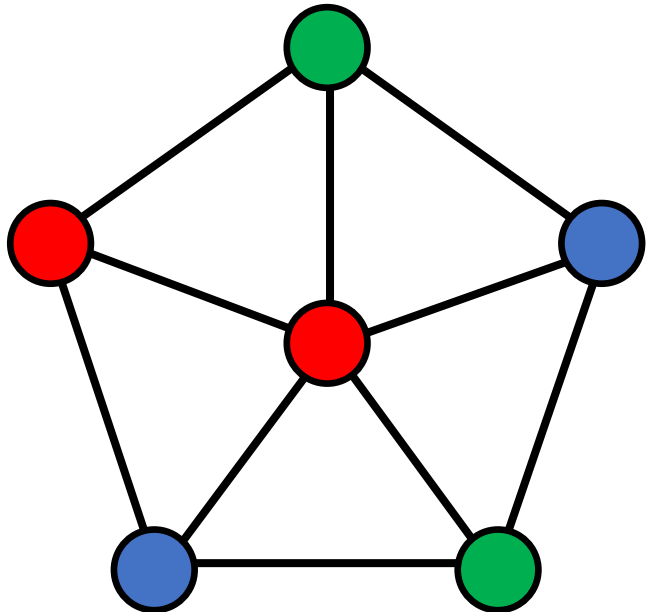
# Max 3-Coloring

An edge in a graph is satisfied if its vertices are colored different colors. Using only three colors, color the vertices of a graph such that the number of satisfied edges is maximized.



# Max 3-Coloring

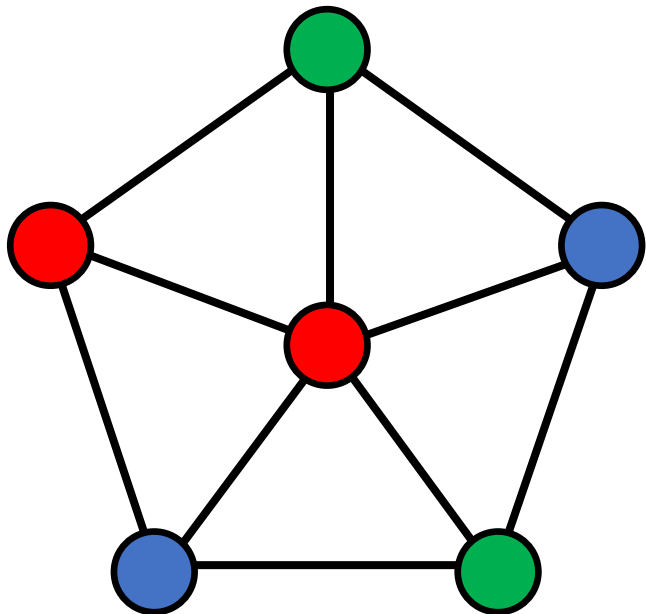
An edge in a graph is satisfied if its vertices are colored different colors. Using only three colors, color the vertices of a graph such that the number of satisfied edges is maximized.



Algorithm?

# Max 3-Coloring

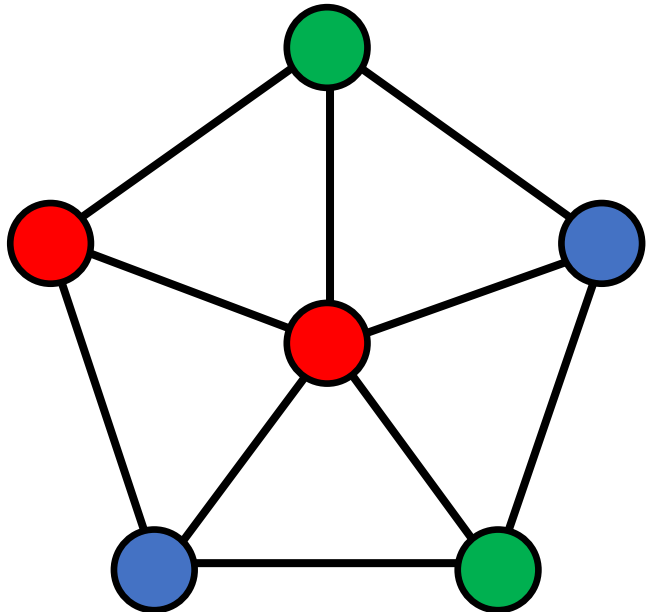
An edge in a graph is satisfied if its vertices are colored different colors. Using only three colors, color the vertices of a graph such that the number of satisfied edges is maximized.



Algorithm: For each vertex, randomly assign it one of the three colors with probability  $1/3$ .

# Max 3-Coloring

An edge in a graph is satisfied if its vertices are colored different colors. Using only three colors, color the vertices of a graph such that the number of satisfied edges is maximized.

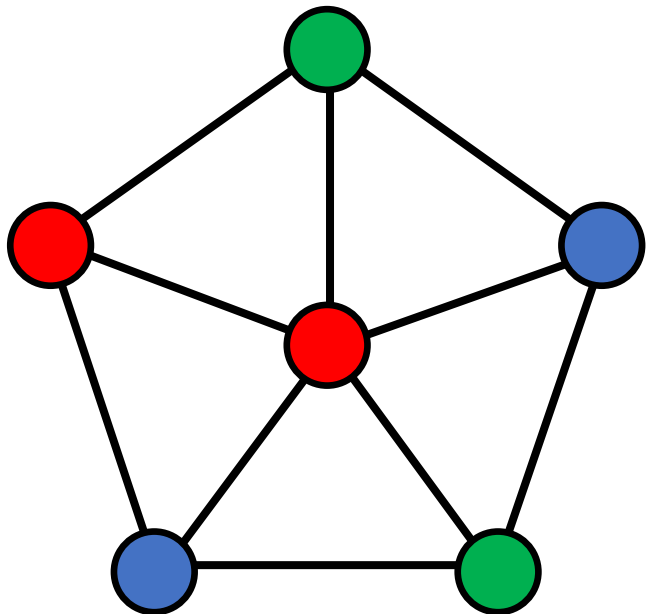


Algorithm: For each vertex, randomly assign it one of the three colors with probability  $1/3$ .

Running time?

# Max 3-Coloring

An edge in a graph is satisfied if its vertices are colored different colors. Using only three colors, color the vertices of a graph such that the number of satisfied edges is maximized.

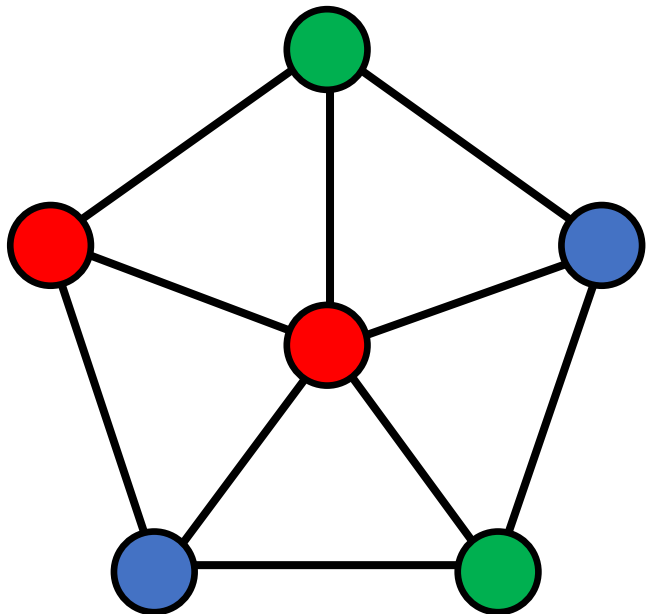


Algorithm: For each vertex, randomly assign it one of the three colors with probability  $1/3$ .

Running time?  $O(n)$

# Max 3-Coloring

An edge in a graph is satisfied if its vertices are colored different colors. Using only three colors, color the vertices of a graph such that the number of satisfied edges is maximized.



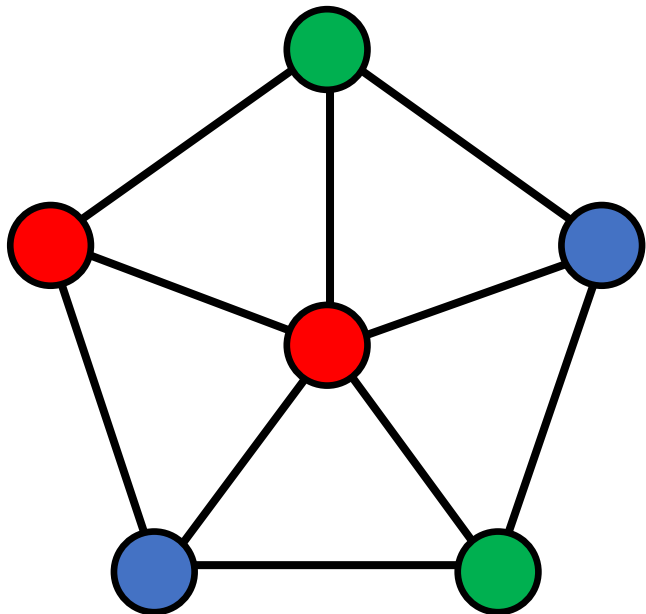
Algorithm: For each vertex, randomly assign it one of the three colors with probability  $1/3$ .

Running time?  $O(n)$

Valid?

# Max 3-Coloring

An edge in a graph is satisfied if its vertices are colored different colors. Using only three colors, color the vertices of a graph such that the number of satisfied edges is maximized.



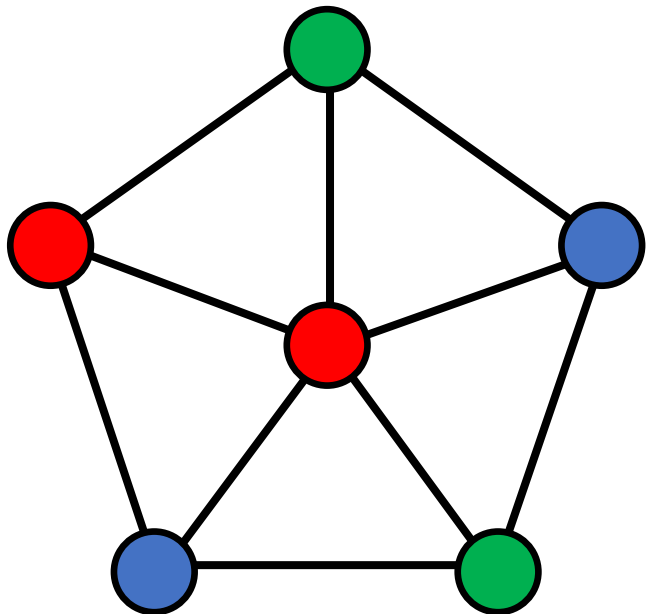
Algorithm: For each vertex, randomly assign it one of the three colors with probability  $1/3$ .

Running time?  $O(n)$

Valid? Yes. Colors all vertices with  $\leq 3$  colors.

# Max 3-Coloring

An edge in a graph is satisfied if its vertices are colored different colors. Using only three colors, color the vertices of a graph such that the number of satisfied edges is maximized.



Algorithm: For each vertex, randomly assign it one of the three colors with probability  $1/3$ .

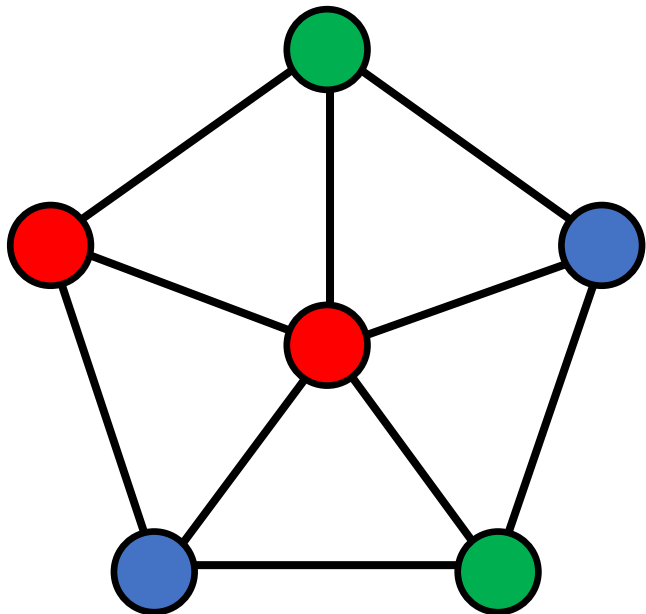
Running time?  $O(n)$

Valid? Yes. Colors all vertices with  $\leq 3$  colors.

Optimal?

# Max 3-Coloring

An edge in a graph is satisfied if its vertices are colored different colors. Using only three colors, color the vertices of a graph such that the number of satisfied edges is maximized.



Algorithm: For each vertex, randomly assign it one of the three colors with probability  $1/3$ .

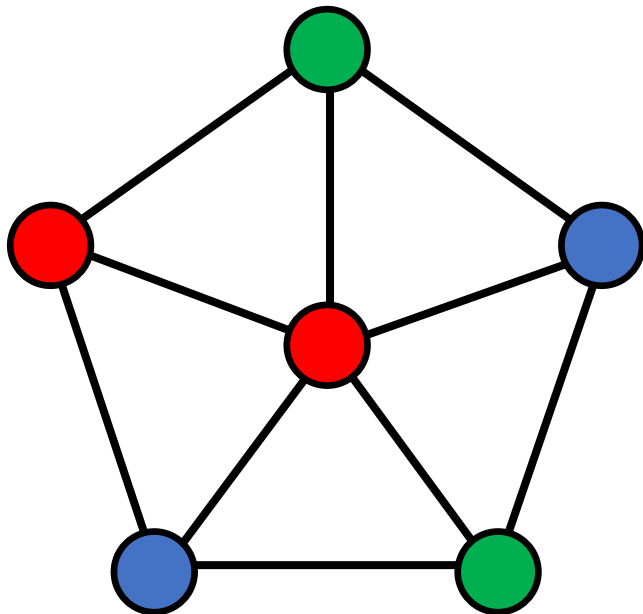
Running time?  $O(n)$

Valid? Yes. Colors all vertices with  $\leq 3$  colors.

Optimal? Probably not...

# Max 3-Coloring

An edge in a graph is satisfied if its vertices are colored different colors. Using only three colors, color the vertices of a graph such that the number of satisfied edges is maximized.



Algorithm: For each vertex, randomly assign it one of the three colors with probability  $1/3$ .

Running time?  $O(n)$

Valid? Yes. Colors all vertices with  $\leq 3$  colors.

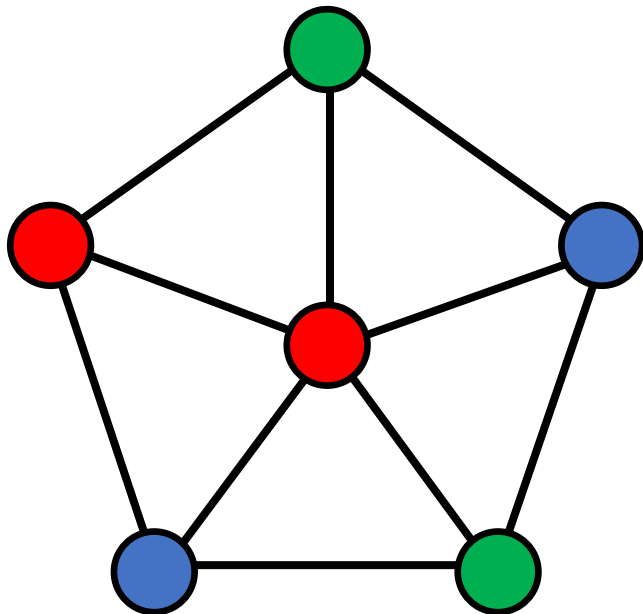
Optimal? Probably not...

Goal: Show this algorithm is expected to perform as a  $\frac{2}{3}$  - approximation algorithm.

# Max 3-Coloring

**Note: if problem is a maximization problem,  $ALG \geq \frac{1}{\alpha} OPT$**

An edge in a graph is satisfied if its vertices are colored different colors. Using only three colors, color the vertices of a graph such that the number of satisfied edges is **maximized**.



Algorithm: For each vertex, randomly assign it one of the three colors with probability  $1/3$ .

Running time?  $O(n)$

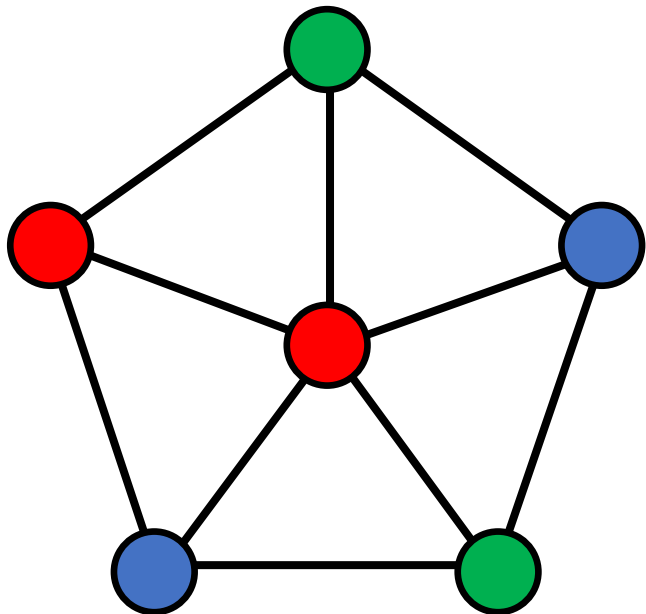
Valid? Yes. Colors all vertices with  $\leq 3$  colors.

Optimal? Probably not...

Goal: Show this algorithm is expected to perform as a  $\frac{2}{3}$  - approximation algorithm.

# Max 3-Coloring

An edge in a graph is satisfied if its vertices are colored different colors. Using only three colors, color the vertices of a graph such that the number of satisfied edges is maximized.



Algorithm: For each vertex, randomly assign it one of the three colors with probability  $1/3$ .

Running time?  $O(n)$

Valid? Yes. Colors all vertices with  $\leq 3$  colors.

Optimal? Probably not...

Goal: Show this algorithm is **expected to perform** as a  $\frac{2}{3}$  - approximation algorithm.

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

What is the probability that a single edge is satisfied?

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

What is the probability that a single edge is satisfied?

$$\text{Probability of some outcome} = \frac{\# \text{ events we care about}}{\# \text{ all possible events}}$$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

What is the probability that a single edge is satisfied?

All possibilities:

**(b,b)**, **(b,g)**, **(b,r)**, **(g,b)**, **(g,g)**, **(g,r)**, **(r,b)**, **(r,g)**, **(r,r)**

Probability of  
some outcome  $= \frac{\# \text{ events we care about}}{\# \text{ all possible events}} = \frac{?}{9}$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

What is the probability that a single edge is satisfied?

All possibilities:

~~(b,b)~~, (b,g), (b,r), (g,b), ~~(g,g)~~, (g,r), (r,b), (r,g), ~~(r,r)~~

$$\text{Probability of some outcome} = \frac{\# \text{ events we care about}}{\# \text{ all possible events}} = \frac{6}{9}$$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

What is the probability that a single edge is satisfied?

All possibilities:

~~(b,b)~~, (b,g), (b,r), (g,b), ~~(g,g)~~, (g,r), (r,b), (r,g), ~~(r,r)~~

$$\text{Probability of some outcome} = \frac{\# \text{ events we care about}}{\# \text{ all possible events}} = \frac{6}{9} = \frac{2}{3}$$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

What is  $\sum_e X_e$ ?

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

What is  $\sum_e X_e$ ?

*ALG* – It's the number of satisfied edges.

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

What is  $\sum_e X_e$ ?

*ALG* – It's the number of satisfied edges.

What is  $E[\sum_e X_e]$ ?

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

What is  $\sum_e X_e$ ?

*ALG* – It's the number of satisfied edges.

What is  $E[\sum_e X_e]$ ?

The most likely value for *ALG*.

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

How many edges we expect to satisfy  $= E[ALG] = E[\sum_e X_e]$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

How many edges we expect to satisfy  $= E[ALG] = E[\sum_e X_e] = \sum_e E[X_e]$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$E[X_e] = ?$

How many edges we expect to satisfy  $= E[ALG] = E[\sum_e X_e] = \sum_e E[X_e]$

The expected value of a random variable ( $E[Y]$ ) is the sum of every possible outcome ( $y_k$ ) times the probability of that outcome ( $p_k$ ):

$$E[Y] = \sum_k y_k p_k$$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right)$$

How many edges we expect to satisfy  $= E[ALG] = E[\sum_e X_e] = \sum_e E[X_e]$

The expected value of a random variable ( $E[Y]$ ) is the sum of every possible outcome ( $y_k$ ) times the probability of that outcome ( $p_k$ ):

$$E[Y] = \sum_k y_k p_k$$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right) = \frac{2}{3}$$

How many edges we expect to satisfy  $= E[ALG] = E[\sum_e X_e] = \sum_e E[X_e]$

The expected value of a random variable ( $E[Y]$ ) is the sum of every possible outcome ( $y_k$ ) times the probability of that outcome ( $p_k$ ):

$$E[Y] = \sum_k y_k p_k$$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \binom{2}{3} + 0 \binom{1}{3} = \frac{2}{3}$$

$$\begin{aligned} \text{How many edges we expect to satisfy} &= E[ALG] = E[\sum_e X_e] = \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} \end{aligned}$$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \binom{2}{3} + 0 \binom{1}{3} = \frac{2}{3}$$

$$\begin{aligned} \text{How many edges we expect to satisfy} &= E[ALG] = E[\sum_e X_e] = \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \binom{2}{3} + 0 \binom{1}{3} = \frac{2}{3}$$

$$\begin{aligned} \text{How many edges we expect to satisfy} &= E[ALG] = E[\sum_e X_e] = \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E|$$

# Max 3-Coloring

**Note: if problem is a maximization problem,  $ALG \geq \frac{1}{\alpha} OPT$**

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \binom{2}{3} + 0 \binom{1}{3} = \frac{2}{3}$$

$$\begin{aligned} \text{How many edges we expect to satisfy} &= E[ALG] = E[\sum_e X_e] = \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \overset{OPT?}{\downarrow}$$

# Max 3-Coloring

**Note: if problem is a maximization problem,  $ALG \geq \frac{1}{\alpha} OPT$**

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \binom{2}{3} + 0 \binom{1}{3} = \frac{2}{3}$$

$$\begin{aligned} \text{How many edges we expect to satisfy} &= E[ALG] = E[\sum_e X_e] = \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \frac{2}{3} OPT$$

**Since  $OPT \leq |E|$  (can't have more satisfied edges than there are edges!)**

# SAT & 3-SAT

$$\phi = (x_1 \vee x_1 \vee x_2) \wedge (\overline{x_1} \vee \overline{x_2} \vee \overline{x_2}) \wedge (\overline{x_1} \vee x_2 \vee x_2)$$

$\phi$  is a formula with clauses composed of Boolean variables connected by ORs, and clauses connected by ANDs.

# SAT & 3-SAT

$$\phi = (x_1 \vee x_1 \vee x_2) \wedge (\overline{x_1} \vee \overline{x_2} \vee \overline{x_2}) \wedge (\overline{x_1} \vee x_2 \vee x_2)$$

$\phi$  is a formula with clauses composed of Boolean variables connected by ORs, and clauses connected by ANDs.

# SAT & 3-SAT

$$\phi = (x_1 \vee x_1 \vee x_2) \wedge (\overline{x_1} \vee \overline{x_2} \vee \overline{x_2}) \wedge (\overline{x_1} \vee x_2 \vee x_2)$$

$\phi$  is a formula with clauses composed of Boolean variables connected by ORs, and clauses connected by ANDs.

# SAT & 3-SAT

$$\phi = (x_1 \vee x_1 \vee x_2) \wedge (\overline{x_1} \vee \overline{x_2} \vee \overline{x_2}) \wedge (\overline{x_1} \vee x_2 \vee x_2)$$

$\phi$  is a formula with clauses composed of Boolean variables connected by ORs, and clauses connected by ANDs.

# SAT & 3-SAT

$$\phi = (x_1 \vee x_1 \vee x_2) \wedge (\overline{x_1} \vee \overline{x_2} \vee \overline{x_2}) \wedge (\overline{x_1} \vee x_2 \vee x_2)$$

$\phi$  is a formula with clauses composed of Boolean variables connected by ORs, and clauses connected by ANDs.

Can you set the variables to **true** or **false** so that  $\phi$  evaluates to **true**?

# SAT & 3-SAT

$$\phi = (x_1 \vee x_1 \vee x_2) \wedge (\overline{x_1} \vee \overline{x_2} \vee \overline{x_2}) \wedge (\overline{x_1} \vee x_2 \vee x_2)$$

$$x_1 = \textit{false}$$

$$x_2 = \textit{true}$$

# SAT & 3-SAT

$$\begin{array}{ccccc} \phi = (x_1 \vee x_1 \vee x_2) \wedge (\overline{x_1} \vee \overline{x_2} \vee \overline{x_2}) \wedge (\overline{x_1} \vee x_2 \vee x_2) & & & & \\ \downarrow & & \downarrow & & \downarrow \\ (F \vee F \vee T) & & (T \vee F \vee F) & & (T \vee T \vee T) \end{array}$$

$$x_1 = \textit{false}$$

$$x_2 = \textit{true}$$

# SAT & 3-SAT

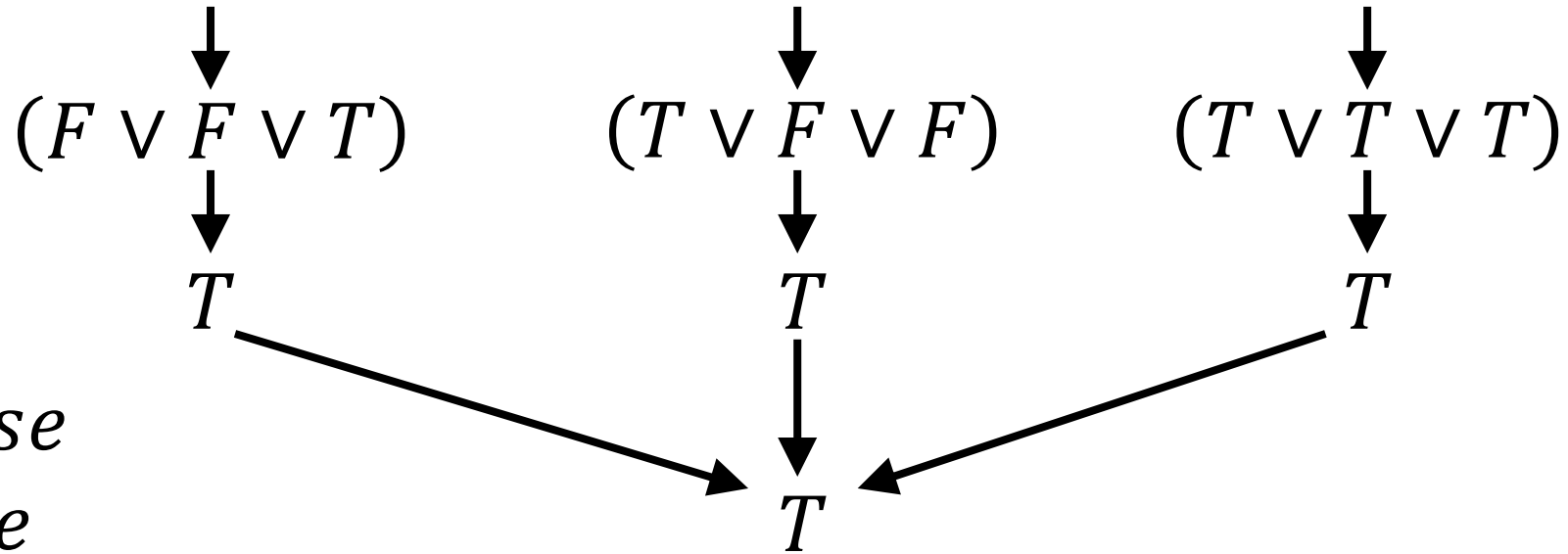
$$\begin{array}{ccccc} \phi = (x_1 \vee x_1 \vee x_2) \wedge (\overline{x_1} \vee \overline{x_2} \vee \overline{x_2}) \wedge (\overline{x_1} \vee x_2 \vee x_2) & & & & \\ \downarrow & & \downarrow & & \downarrow \\ (F \vee F \vee T) & & (T \vee F \vee F) & & (T \vee T \vee T) \\ \downarrow & & \downarrow & & \downarrow \\ T & & T & & T \end{array}$$

$$x_1 = \textit{false}$$

$$x_2 = \textit{true}$$

# SAT & 3-SAT

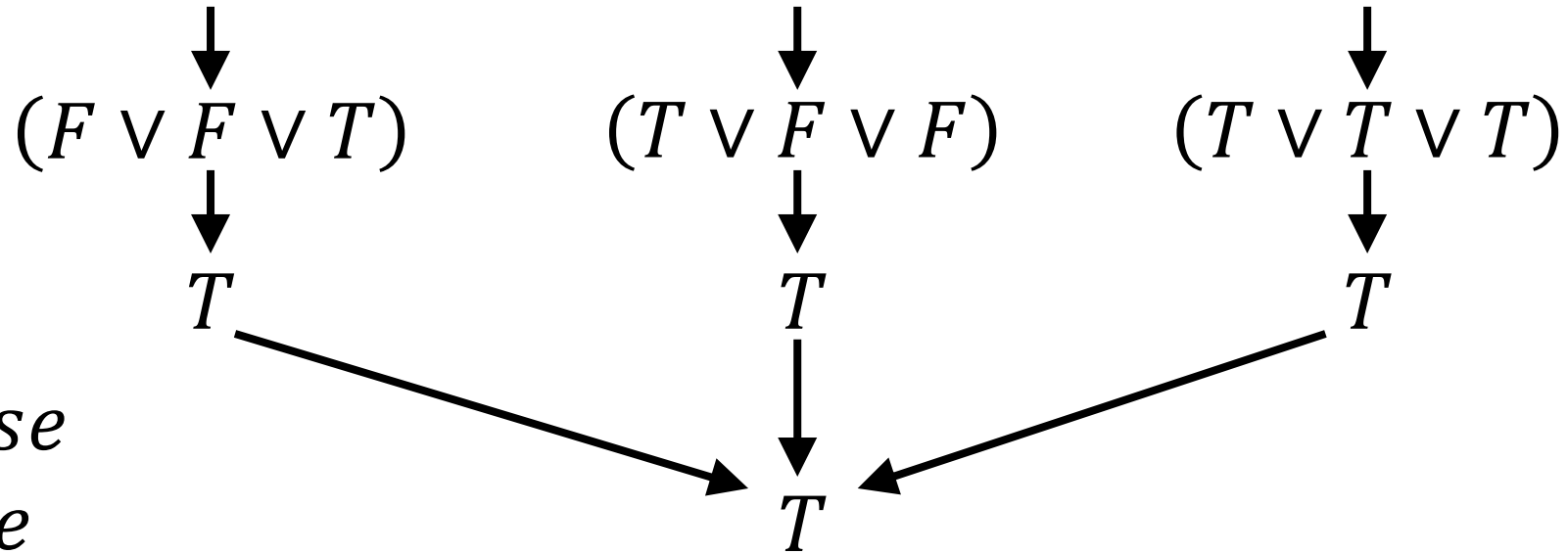
$$\phi = (x_1 \vee x_1 \vee x_2) \wedge (\overline{x_1} \vee \overline{x_2} \vee \overline{x_2}) \wedge (\overline{x_1} \vee x_2 \vee x_2)$$



$x_1 = \text{false}$   
 $x_2 = \text{true}$

# SAT & 3-SAT

$$\phi = (x_1 \vee x_1 \vee x_2) \wedge (\overline{x_1} \vee \overline{x_2} \vee \overline{x_2}) \wedge (\overline{x_1} \vee x_2 \vee x_2)$$



$x_1 = \text{false}$   
 $x_2 = \text{true}$

$SAT = \{\langle \phi \rangle: \phi \text{ is a satisfiable formula}\}$

$3SAT = \{\langle \phi \rangle: \phi \text{ is a satisfiable formula with 3 variables per clause}\}$

# Max 3-SAT

Given a 3-SAT instance (with unique variables in each clause), set variable values so that the number of satisfied clauses is maximized.

$$X = \{x_1, x_2, x_3, x_4\}$$

$$(x_1 \vee x_2 \vee \overline{x_3}) \wedge (\overline{x_2} \vee x_3 \vee x_4) \wedge (x_1 \vee x_3 \vee x_4)$$

$$\{\text{true}, \text{true}, \text{false}, \text{false}\} \rightarrow \text{true} \wedge \text{false} \wedge \text{true}$$

$$\{\text{true}, \text{false}, \text{true}, \text{false}\} \rightarrow \text{true} \wedge \text{true} \wedge \text{true}$$

# Max 3-SAT

Given a 3-SAT instance (with unique variables in each clause), set variable values so that the number of satisfied clauses is maximized.

$$X = \{x_1, x_2, x_3, x_4\}$$

$$(x_1 \vee x_2 \vee \overline{x_3}) \wedge (\overline{x_2} \vee x_3 \vee x_4) \wedge (x_1 \vee x_3 \vee x_4)$$

$$\{\text{true}, \text{true}, \text{false}, \text{false}\} \rightarrow \text{true} \wedge \text{false} \wedge \text{true}$$

$$\{\text{true}, \text{false}, \text{true}, \text{false}\} \rightarrow \text{true} \wedge \text{true} \wedge \text{true}$$

Algorithm?

# Max 3-SAT

Given a 3-SAT instance (with unique variables in each clause), set variable values so that the number of satisfied clauses is maximized.

$$X = \{x_1, x_2, x_3, x_4\}$$

$$(x_1 \vee x_2 \vee \overline{x_3}) \wedge (\overline{x_2} \vee x_3 \vee x_4) \wedge (x_1 \vee x_3 \vee x_4)$$

$$\{\text{true}, \text{true}, \text{false}, \text{false}\} \rightarrow \text{true} \wedge \text{false} \wedge \text{true}$$

$$\{\text{true}, \text{false}, \text{true}, \text{false}\} \rightarrow \text{true} \wedge \text{true} \wedge \text{true}$$

Algorithm: Assign  $x_i = \text{true}$  with probability  $1/2$ .  
Otherwise, set it to false.

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right) = \frac{2}{3}$$

$$\begin{aligned} \# \text{ edges we expect} &= E[ALG] = E[\sum_e X_e] \\ \text{to satisfy} &= \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \frac{2}{3} OPT$$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right) = \frac{2}{3}$$

$$\begin{aligned} \# \text{ edges we expect to satisfy} &= E[ALG] = E[\sum_e X_e] \\ &= \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \frac{2}{3} OPT$$

# Max 3-SAT

Algorithm: Assign  $x_i = \text{true}$  with probability  $\frac{1}{2}$ .  
Otherwise, set it to false.

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right) = \frac{2}{3}$$

$$\begin{aligned} \# \text{ edges we expect} &= E[ALG] = E[\sum_e X_e] \\ \text{to satisfy} &= \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \frac{2}{3} OPT$$

# Max 3-SAT

Algorithm: Assign  $x_i = \text{true}$  with probability  $\frac{1}{2}$ .  
Otherwise, set it to false.

Probability that a clause is satisfied = ?

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right) = \frac{2}{3}$$

$$\begin{aligned} \# \text{ edges we expect} &= E[ALG] = E[\sum_e X_e] \\ \text{to satisfy} &= \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \frac{2}{3} OPT$$

# Max 3-SAT

Algorithm: Assign  $x_i = \text{true}$  with probability  $\frac{1}{2}$ .  
Otherwise, set it to false.

Probability that a clause is satisfied  $= ?$

All possibilities:

**(T,T,T), (T,T,F), (T,F,T), (T,F,F)**  
**(F,T,T), (F,T,F), (F,F,T), (F,F,F)**

Given a 3-SAT instance (**with unique variables in each clause**), set variable values so that the number of satisfied clauses is maximized.

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right) = \frac{2}{3}$$

$$\begin{aligned} \# \text{ edges we expect} &= E[ALG] = E[\sum_e X_e] \\ \text{to satisfy} &= \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \frac{2}{3} OPT$$

# Max 3-SAT

Algorithm: Assign  $x_i = \text{true}$  with probability  $\frac{1}{2}$ . Otherwise, set it to false.

Probability that a clause is satisfied  $= \frac{7}{8}$ .

All possibilities:

**(T,T,T), (T,T,F), (T,F,T), (T,F,F)**  
**(F,T,T), (F,T,F), (F,F,T), (F,F,F)**

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right) = \frac{2}{3}$$

$$\begin{aligned} \# \text{ edges we expect} &= E[ALG] = E[\sum_e X_e] \\ \text{to satisfy} &= \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \frac{2}{3} OPT$$

# Max 3-SAT

Algorithm: Assign  $x_i = \text{true}$  with probability  $\frac{1}{2}$ .  
Otherwise, set it to false.

Probability that a clause is satisfied  $= \frac{7}{8}$ .

Alternate Argument:

**(F,F,F)** is the only failing case

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right) = \frac{2}{3}$$

$$\begin{aligned} \# \text{ edges we expect to satisfy} &= E[ALG] = E[\sum_e X_e] \\ &= \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \frac{2}{3} OPT$$

# Max 3-SAT

Algorithm: Assign  $x_i = \text{true}$  with probability  $\frac{1}{2}$ .  
Otherwise, set it to false.

Probability that a clause is satisfied  $= \frac{7}{8}$ .

Alternate Argument:

**(F,F,F)** is the only failing case  
Probability of **(F,F,F)**?

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right) = \frac{2}{3}$$

$$\begin{aligned} \# \text{ edges we expect to satisfy} &= E[ALG] = E[\sum_e X_e] \\ &= \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \frac{2}{3} OPT$$

# Max 3-SAT

Algorithm: Assign  $x_i = \text{true}$  with probability  $\frac{1}{2}$ . Otherwise, set it to false.

Probability that a clause is satisfied  $= \frac{7}{8}$ .

Alternate Argument:

**(F,F,F)** is the only failing case

Probability of **(F,F,F)**?

$$\frac{1}{2} \times \frac{1}{2} \times \frac{1}{2} = \frac{1}{8}$$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right) = \frac{2}{3}$$

$$\begin{aligned} \# \text{ edges we expect to satisfy} &= E[ALG] = E[\sum_e X_e] \\ &= \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \frac{2}{3} OPT$$

# Max 3-SAT

Algorithm: Assign  $x_i = \text{true}$  with probability  $\frac{1}{2}$ . Otherwise, set it to false.

Probability that a clause is satisfied  $= \frac{7}{8}$ .

Alternate Argument:

**(F,F,F)** is the only failing case

Probability of **(F,F,F)**?

$$\frac{1}{2} \times \frac{1}{2} \times \frac{1}{2} = \frac{1}{8}$$

Probability of anything but that?

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right) = \frac{2}{3}$$

$$\begin{aligned} \# \text{ edges we expect to satisfy} &= E[ALG] = E[\sum_e X_e] \\ &= \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \frac{2}{3} OPT$$

# Max 3-SAT

Algorithm: Assign  $x_i = \text{true}$  with probability  $\frac{1}{2}$ . Otherwise, set it to false.

Probability that a clause is satisfied  $= \frac{7}{8}$ .

Alternate Argument:

**(F,F,F)** is the only failing case

Probability of **(F,F,F)**?

$$\frac{1}{2} \times \frac{1}{2} \times \frac{1}{2} = \frac{1}{8}$$

Probability of anything but that?

$$1 - \frac{1}{8} = \frac{7}{8}$$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right) = \frac{2}{3}$$

$$\begin{aligned} \# \text{ edges we expect} &= E[ALG] = E[\sum_e X_e] \\ \text{to satisfy} &= \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \frac{2}{3} OPT$$

# Max 3-SAT

Algorithm: Assign  $x_i = \text{true}$  with probability  $\frac{1}{2}$ .  
Otherwise, set it to false.

Probability that a clause is satisfied  $= \frac{7}{8}$ .

Define  $Z_c = \begin{cases} 1, & \text{if clause } c \text{ is satisfied} \\ 0, & \text{if clause } c \text{ is not satisfied} \end{cases}$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right) = \frac{2}{3}$$

$$\begin{aligned} \# \text{ edges we expect} &= E[ALG] = E[\sum_e X_e] \\ \text{to satisfy} &= \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \frac{2}{3} OPT$$

# Max 3-SAT

Algorithm: Assign  $x_i = \text{true}$  with probability  $\frac{1}{2}$ .  
Otherwise, set it to false.

Probability that a clause is satisfied  $= \frac{7}{8}$ .

Define  $Z_c = \begin{cases} 1, & \text{if clause } c \text{ is satisfied} \\ 0, & \text{if clause } c \text{ is not satisfied} \end{cases}$

$$E[Z_c] = ?$$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right) = \frac{2}{3}$$

$$\begin{aligned} \# \text{ edges we expect} &= E[ALG] = E[\sum_e X_e] \\ \text{to satisfy} &= \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \frac{2}{3} OPT$$

# Max 3-SAT

Algorithm: Assign  $x_i = \text{true}$  with probability  $\frac{1}{2}$ . Otherwise, set it to false.

Probability that a clause is satisfied  $= \frac{7}{8}$ .

Define  $Z_c = \begin{cases} 1, & \text{if clause } c \text{ is satisfied} \\ 0, & \text{if clause } c \text{ is not satisfied} \end{cases}$

$$E[Z_c] = 1 \left(\frac{7}{8}\right) + 0 \left(\frac{1}{8}\right) = \frac{7}{8}$$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right) = \frac{2}{3}$$

$$\begin{aligned} \# \text{ edges we expect to satisfy} &= E[ALG] = E[\sum_e X_e] \\ &= \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \frac{2}{3} OPT$$

# Max 3-SAT

Algorithm: Assign  $x_i = \text{true}$  with probability  $\frac{1}{2}$ . Otherwise, set it to false.

Probability that a clause is satisfied  $= \frac{7}{8}$ .

Define  $Z_c = \begin{cases} 1, & \text{if clause } c \text{ is satisfied} \\ 0, & \text{if clause } c \text{ is not satisfied} \end{cases}$

$$E[Z_c] = 1 \left(\frac{7}{8}\right) + 0 \left(\frac{1}{8}\right) = \frac{7}{8}$$

$$\begin{aligned} \# \text{ clauses we expect to satisfy} &= E[ALG] = E[\sum_c Z_c] \\ &= \sum_c E[Z_c] \end{aligned}$$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right) = \frac{2}{3}$$

$$\begin{aligned} \# \text{ edges we expect to satisfy} &= E[ALG] = E[\sum_e X_e] \\ &= \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \frac{2}{3} OPT$$

# Max 3-SAT

Algorithm: Assign  $x_i = \text{true}$  with probability  $\frac{1}{2}$ . Otherwise, set it to false.

Probability that a clause is satisfied  $= \frac{7}{8}$ .

Define  $Z_c = \begin{cases} 1, & \text{if clause } c \text{ is satisfied} \\ 0, & \text{if clause } c \text{ is not satisfied} \end{cases}$

$$E[Z_c] = 1 \left(\frac{7}{8}\right) + 0 \left(\frac{1}{8}\right) = \frac{7}{8}$$

$$\begin{aligned} \# \text{ clauses we expect to satisfy} &= E[ALG] = E[\sum_c Z_c] \\ &= \sum_c E[Z_c] \\ &= \sum_c \frac{7}{8} = \frac{7}{8} |C| \end{aligned}$$

# Max 3-Coloring

Algorithm: For each vertex, randomly assign it one of the three colors with probability  $= \frac{1}{3}$ .

Probability that a single edge is satisfied  $= \frac{2}{3}$ .

Define  $X_e = \begin{cases} 1, & \text{if } e \text{ is satisfied} \\ 0, & \text{if } e \text{ is not satisfied} \end{cases}$

$$E[X_e] = 1 \left(\frac{2}{3}\right) + 0 \left(\frac{1}{3}\right) = \frac{2}{3}$$

$$\begin{aligned} \# \text{ edges we expect to satisfy} &= E[ALG] = E[\sum_e X_e] \\ &= \sum_e E[X_e] \\ &= \sum_e \frac{2}{3} = \frac{2}{3} |E| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{2}{3} |E| \geq \frac{2}{3} OPT$$

# Max 3-SAT

Algorithm: Assign  $x_i = \text{true}$  with probability  $\frac{1}{2}$ . Otherwise, set it to false.

Probability that a clause is satisfied  $= \frac{7}{8}$ .

Define  $Z_c = \begin{cases} 1, & \text{if clause } c \text{ is satisfied} \\ 0, & \text{if clause } c \text{ is not satisfied} \end{cases}$

$$E[Z_c] = 1 \left(\frac{7}{8}\right) + 0 \left(\frac{1}{8}\right) = \frac{7}{8}$$

$$\begin{aligned} \# \text{ clauses we expect to satisfy} &= E[ALG] = E[\sum_c Z_c] \\ &= \sum_c E[Z_c] \\ &= \sum_c \frac{7}{8} = \frac{7}{8} |C| \end{aligned}$$

$$\Rightarrow E[ALG] = \frac{7}{8} |C| \geq \frac{7}{8} OPT$$

# ILP-Based Approximations

## CSCI 532

# Vertex Cover ILP

Vertex Cover: Given graph, find the smallest subset of vertices such that every edge in the graph has at least one vertex in the subset.

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 **$x_i \in \{0, 1\}$ , for each vertex  $i$**

**$\in$  NP-Complete**

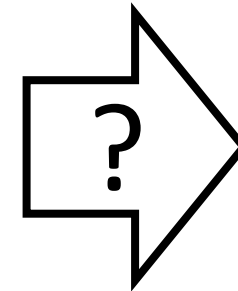
Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 **$0 \leq x_i \leq 1$ , for each vertex  $i$**

**$\in$  P**

# Vertex Cover ILP

Vertex Cover: Given graph, find the smallest subset of vertices such that every edge in the graph has at least one vertex in the subset.

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 $0 \leq x_i \leq 1$ , for each vertex  $i$



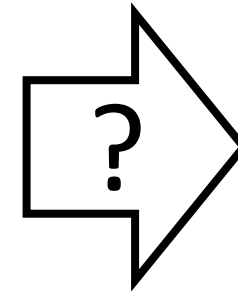
Vertex  
Selection

If  $x_i = 1$ , what should we do with vertex  $i$ ?

# Vertex Cover ILP

Vertex Cover: Given graph, find the smallest subset of vertices such that every edge in the graph has at least one vertex in the subset.

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 $0 \leq x_i \leq 1$ , for each vertex  $i$



Vertex  
Selection

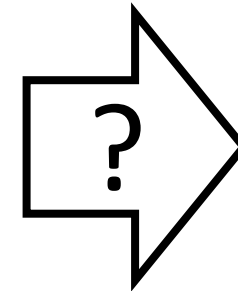
If  $x_i = 1$ , what should we do with vertex  $i$ ? Add to subset  $S$

If  $x_i = 0$ , what should we do with vertex  $i$ ?

# Vertex Cover ILP

Vertex Cover: Given graph, find the smallest subset of vertices such that every edge in the graph has at least one vertex in the subset.

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 $0 \leq x_i \leq 1$ , for each vertex  $i$



Vertex  
Selection

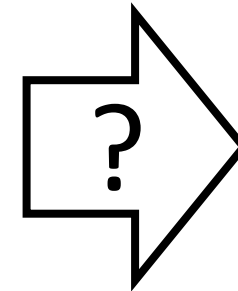
If  $x_i = 1$ , what should we do with vertex  $i$ ? Add to subset  $S$

If  $x_i = 0$ , what should we do with vertex  $i$ ? Don't add to subset  $S$

# Vertex Cover ILP

Vertex Cover: Given graph, find the smallest subset of vertices such that every edge in the graph has at least one vertex in the subset.

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 $0 \leq x_i \leq 1$ , for each vertex  $i$



Vertex  
Selection

If  $x_i = 1$ , what should we do with vertex  $i$ ? Add to subset  $S$

If  $x_i = 0$ , what should we do with vertex  $i$ ? Don't add to subset  $S$

If  $x_i = \frac{126}{337}$ , what should we do with vertex  $i$ ?

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$

Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$

$0 \leq x_i \leq 1$ , for each vertex  $i$

+

If  $x_i \geq \frac{1}{2}$ , add vertex  $i$   
to our subset  $S$ .

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$

Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$

$0 \leq x_i \leq 1$ , for each vertex  $i$

+

If  $x_i \geq \frac{1}{2}$ , add vertex  $i$   
to our subset  $S$ .

Is  $S$  a vertex cover?

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 $0 \leq x_i \leq 1$ , for each vertex  $i$

**+** If  $x_i \geq \frac{1}{2}$ , add vertex  $i$   
to our subset  $S$ .

Is  $S$  a vertex cover?

Yes. For every edge,  $x_i + x_j \geq 1$ .

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$

Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$

$0 \leq x_i \leq 1$ , for each vertex  $i$

+

If  $x_i \geq \frac{1}{2}$ , add vertex  $i$   
to our subset  $S$ .

Is  $S$  a vertex cover?

Yes. For every edge,  $x_i + x_j \geq 1$ . Thus, at least one of  $x_i$  or

$x_j \geq \frac{1}{2}$ .

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$

Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$

$0 \leq x_i \leq 1$ , for each vertex  $i$

+

If  $x_i \geq \frac{1}{2}$ , add vertex  $i$   
to our subset  $S$ .

Is  $S$  a vertex cover?

Yes. For every edge,  $x_i + x_j \geq 1$ . Thus, at least one of  $x_i$  or  $x_j \geq \frac{1}{2}$ . So for every edge, at least one of its vertices will be in  $S$ .

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$

Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$

$0 \leq x_i \leq 1$ , for each vertex  $i$

+

If  $x_i \geq \frac{1}{2}$ , add vertex  $i$   
to our subset  $S$ .

What is the relationship between  $ALG = |S|$  and  $OPT$ ?

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$

Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$

$0 \leq x_i \leq 1$ , for each vertex  $i$

+

If  $x_i \geq \frac{1}{2}$ , add vertex  $i$   
to our subset  $S$ .

Can we bound OPT from below?

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 $0 \leq x_i \leq 1$ , for each vertex  $i$

**+** If  $x_i \geq \frac{1}{2}$ , add vertex  $i$   
to our subset  $S$ .

Can we bound OPT from below?

Let  $x_{\text{ILP}}$  and  $x_{\text{LP}}$  be the set of  $x$  values found by the ILP and LP

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 $0 \leq x_i \leq 1$ , for each vertex  $i$

**+** If  $x_i \geq \frac{1}{2}$ , add vertex  $i$  to our subset  $S$ .

Can we bound OPT from below?

Let  $x_{\text{ILP}}$  and  $x_{\text{LP}}$  be the set of  $x$  values found by the ILP and LP

Claim:  $\sum x_{\text{LP}} \leq \text{OPT}$ .

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 $0 \leq x_i \leq 1$ , for each vertex  $i$

**+** If  $x_i \geq \frac{1}{2}$ , add vertex  $i$  to our subset  $S$ .

Can we bound OPT from below?

Let  $x_{\text{ILP}}$  and  $x_{\text{LP}}$  be the set of  $x$  values found by the ILP and LP

Claim:  $\sum x_{\text{LP}} \leq \text{OPT}$ .

Proof:  $\text{OPT} = ?$

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 $0 \leq x_i \leq 1$ , for each vertex  $i$

**+** If  $x_i \geq \frac{1}{2}$ , add vertex  $i$  to our subset  $S$ .

Can we bound OPT from below?

Let  $x_{\text{ILP}}$  and  $x_{\text{LP}}$  be the set of  $x$  values found by the ILP and LP

Claim:  $\sum x_{\text{LP}} \leq \text{OPT}$ .

Proof:  $\text{OPT} = \sum x_{\text{ILP}}$ , where  $x_i \in \{0,1\} \dots$ ?

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 $0 \leq x_i \leq 1$ , for each vertex  $i$

**+** If  $x_i \geq \frac{1}{2}$ , add vertex  $i$  to our subset  $S$ .

Can we bound OPT from below?

Let  $x_{\text{ILP}}$  and  $x_{\text{LP}}$  be the set of  $x$  values found by the ILP and LP

Claim:  $\sum x_{\text{LP}} \leq \text{OPT}$ .

Proof:  $\text{OPT} = \sum x_{\text{ILP}}$ , where  $x_i \in \{0,1\}$ . When  $x_i$  is relaxed so that  $0 \leq x_i \leq 1$ , this gives more possibilities to further decrease  $\sum_i x_i$ . Thus,  $\sum x_{\text{LP}} \leq \text{OPT}$ .

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 $0 \leq x_i \leq 1$ , for each vertex  $i$

**+** If  $x_i \geq \frac{1}{2}$ , add vertex  $i$  to our subset  $S$ .

How does  $\sum x_{LP}$  relate to ALG?

$$\sum x_{LP} = \sum_{x_i \in X_{LP}} x_i \geq \sum_{x_i \in X_{LP}: x_i \geq \frac{1}{2}} x_i, \text{ because...?}$$

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 $0 \leq x_i \leq 1$ , for each vertex  $i$

**+** If  $x_i \geq \frac{1}{2}$ , add vertex  $i$  to our subset  $S$ .

How does  $\sum x_{LP}$  relate to ALG?

$\sum x_{LP} = \sum_{x_i \in X_{LP}} x_i \geq \sum_{x_i \in X_{LP}: x_i \geq \frac{1}{2}} x_i$ , because it's a subset of  $x_{LP}$

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 $0 \leq x_i \leq 1$ , for each vertex  $i$

**+** If  $x_i \geq \frac{1}{2}$ , add vertex  $i$  to our subset  $S$ .

How does  $\sum x_{LP}$  relate to ALG?

$$\begin{aligned} \sum x_{LP} &= \sum_{x_i \in X_{LP}} x_i \geq \sum_{x_i \in X_{LP}: x_i \geq \frac{1}{2}} x_i, \text{ because it's a subset of } x_{LP} \\ &\geq \sum_{x_i \in X_{LP}: x_i \geq \frac{1}{2}} \frac{1}{2}, \text{ because...?} \end{aligned}$$

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 $0 \leq x_i \leq 1$ , for each vertex  $i$

**+** If  $x_i \geq \frac{1}{2}$ , add vertex  $i$  to our subset  $S$ .

How does  $\sum x_{LP}$  relate to ALG?

$$\begin{aligned} \sum x_{LP} &= \sum_{x_i \in X_{LP}} x_i \geq \sum_{x_i \in X_{LP}: x_i \geq \frac{1}{2}} x_i, \text{ because it's a subset of } x_{LP} \\ &\geq \sum_{x_i \in X_{LP}: x_i \geq \frac{1}{2}} \frac{1}{2}, \text{ because each } x_i \text{ is at least } \frac{1}{2} \end{aligned}$$

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 $0 \leq x_i \leq 1$ , for each vertex  $i$

**+** If  $x_i \geq \frac{1}{2}$ , add vertex  $i$  to our subset  $S$ .

How does  $\sum x_{LP}$  relate to ALG?

$$\begin{aligned} \sum x_{LP} &= \sum_{x_i \in X_{LP}} x_i \geq \sum_{x_i \in X_{LP}: x_i \geq \frac{1}{2}} x_i, \text{ because it's a subset of } x_{LP} \\ &\geq \sum_{x_i \in X_{LP}: x_i \geq \frac{1}{2}} \frac{1}{2}, \text{ because each } x_i \text{ is at least } \frac{1}{2} \\ &= \frac{1}{2} \left| \left\{ x_i \in X_{LP}: x_i \geq \frac{1}{2} \right\} \right| \end{aligned}$$

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 $0 \leq x_i \leq 1$ , for each vertex  $i$

**+** If  $x_i \geq \frac{1}{2}$ , add vertex  $i$  to our subset  $S$ .

How does  $\sum x_{LP}$  relate to ALG?

$$\begin{aligned} \sum x_{LP} &= \sum_{x_i \in X_{LP}} x_i \geq \sum_{x_i \in X_{LP}: x_i \geq \frac{1}{2}} x_i, \text{ because it's a subset of } x_{LP} \\ &\geq \sum_{x_i \in X_{LP}: x_i \geq \frac{1}{2}} \frac{1}{2}, \text{ because each } x_i \text{ is at least } \frac{1}{2} \\ &= \frac{1}{2} \left| \left\{ x_i \in X_{LP}: x_i \geq \frac{1}{2} \right\} \right| = ? \end{aligned}$$

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$

Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$

$0 \leq x_i \leq 1$ , for each vertex  $i$

+

If  $x_i \geq \frac{1}{2}$ , add vertex  $i$   
to our subset  $S$ .

How does  $\sum x_{LP}$  relate to ALG?

$$\begin{aligned} \sum x_{LP} &= \sum_{x_i \in X_{LP}} x_i \geq \sum_{x_i \in X_{LP}: x_i \geq \frac{1}{2}} x_i, \text{ because it's a subset of } x_{LP} \\ &\geq \sum_{x_i \in X_{LP}: x_i \geq \frac{1}{2}} \frac{1}{2}, \text{ because each } x_i \text{ is at least } \frac{1}{2} \\ &= \frac{1}{2} \left| \left\{ x_i \in X_{LP}: x_i \geq \frac{1}{2} \right\} \right| = \frac{1}{2} \text{ ALG} \end{aligned}$$

# Vertex Cover ILP

Objective:  $\min \sum_i x_i$   
Subject to:  $x_i + x_j \geq 1$ , for each edge  $e = (i, j)$   
 $0 \leq x_i \leq 1$ , for each vertex  $i$

+

If  $x_i \geq \frac{1}{2}$ , add vertex  $i$   
to our subset  $S$ .

What is the relationship between ALG and OPT?

$$\sum x_{LP} \geq \frac{1}{2} \text{ALG and } \sum x_{LP} \leq \text{OPT}$$

$$\text{ALG} \leq 2 \text{OPT}$$