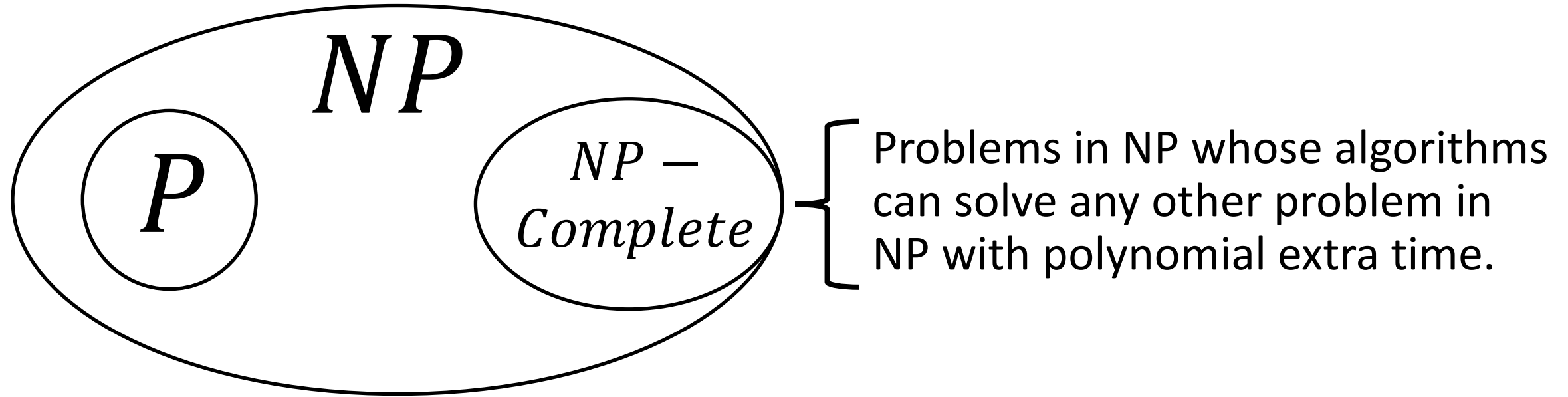


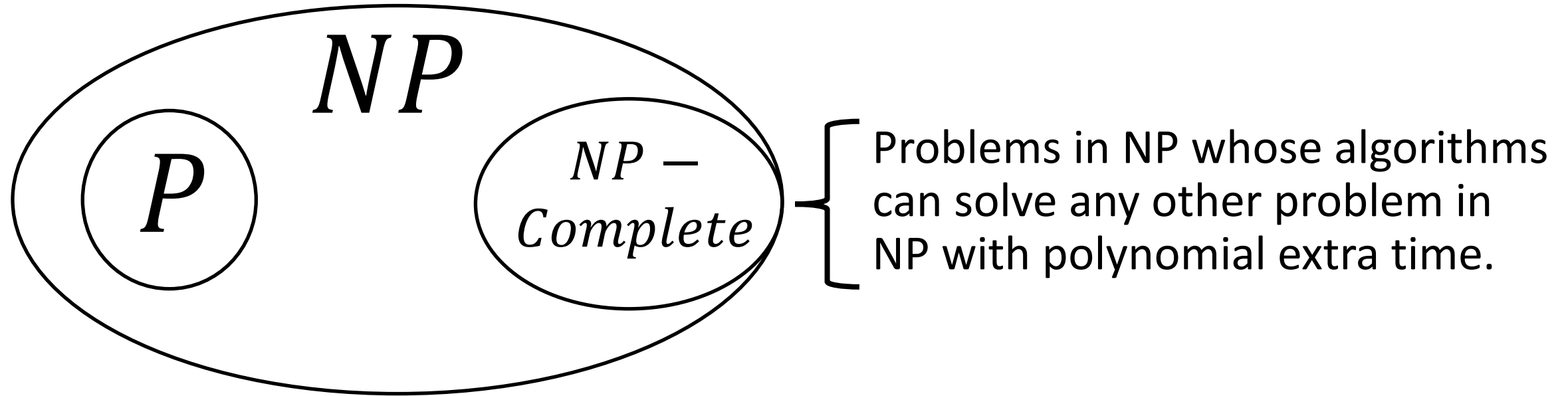
Approximation Algorithms

CSCI 532

NP Complete



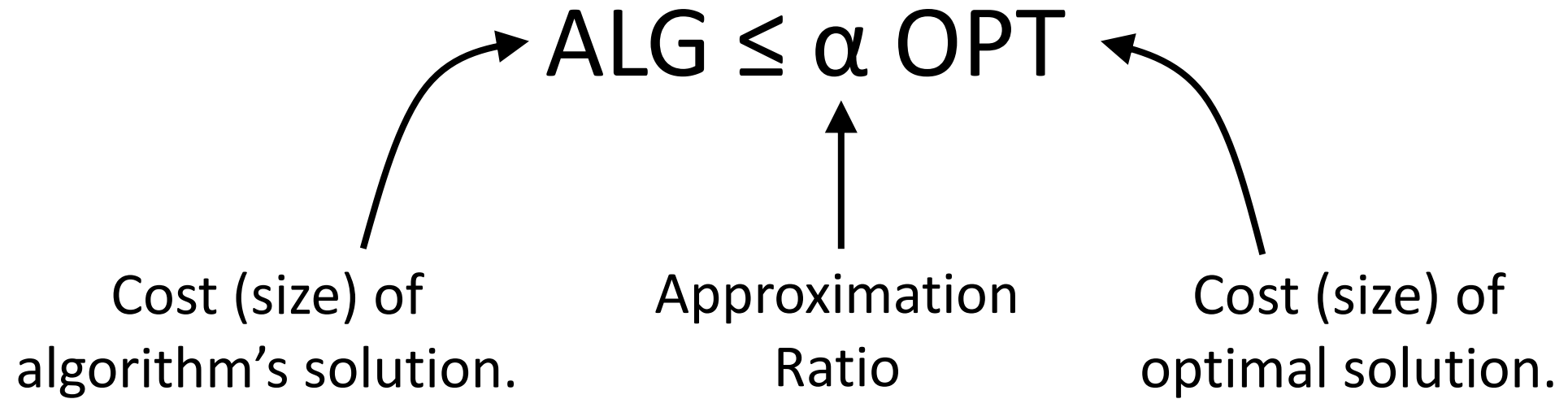
NP Complete



Techniques to handle NP-Complete problems:

1. Brute Force (i.e. Exponential Time).
2. Heuristics.
3. Approximation Algorithms.
4. Fixed-parameter Tractable Algorithms.

Approximation Algorithms



The diagram illustrates the approximation ratio inequality $ALG \leq \alpha OPT$. It features three text labels at the bottom: "Cost (size) of algorithm's solution." on the left, "Approximation Ratio" in the center, and "Cost (size) of optimal solution." on the right. Arrows connect these labels to the corresponding parts of the inequality above: a curved arrow from the left label to "ALG", a straight vertical arrow from the center label to " α ", and a curved arrow from the right label to "OPT".

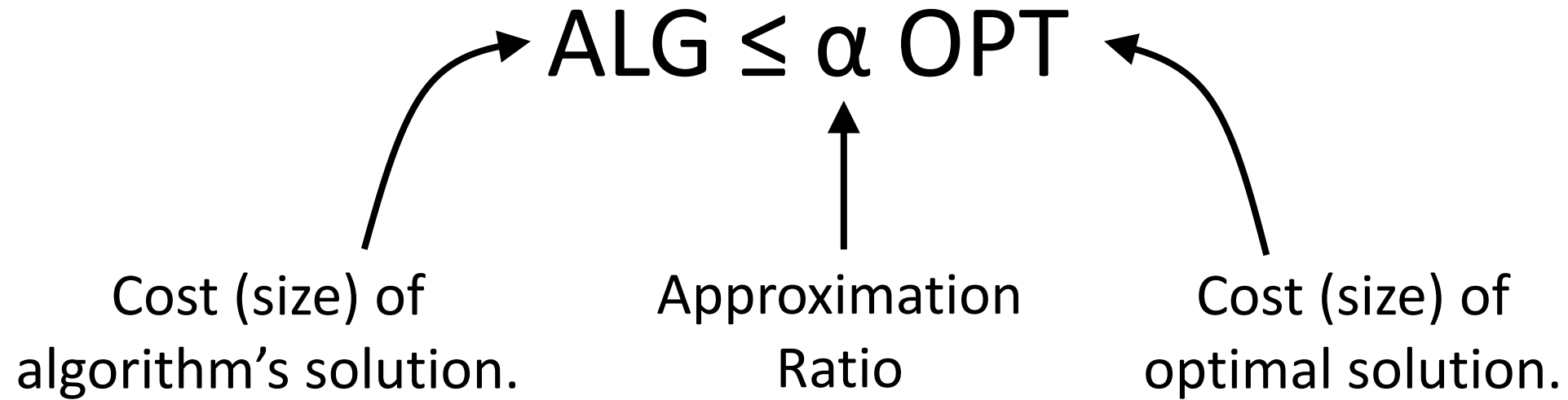
$$ALG \leq \alpha OPT$$

Cost (size) of algorithm's solution.

Approximation Ratio

Cost (size) of optimal solution.

Approximation Algorithms



if problem is a maximization problem, $ALG \geq \frac{1}{\alpha} OPT$

Approximation Algorithms

$ALG \leq \alpha OPT$

Cost (size) of algorithm's solution.

Approximation Ratio

Cost (size) of optimal solution.

Example:

Approximation Algorithms

The diagram illustrates the inequality $ALG \leq \alpha OPT$. A green arrow points from the value 1.12 to the symbol α . A black arrow points from the text 'Cost (size) of algorithm's solution.' to 'ALG'. Another black arrow points from the text 'Cost (size) of optimal solution.' to 'OPT'. A black arrow points from the text 'Approximation Ratio' to ' α '.

$$ALG \leq \alpha OPT$$

Cost (size) of algorithm's solution.

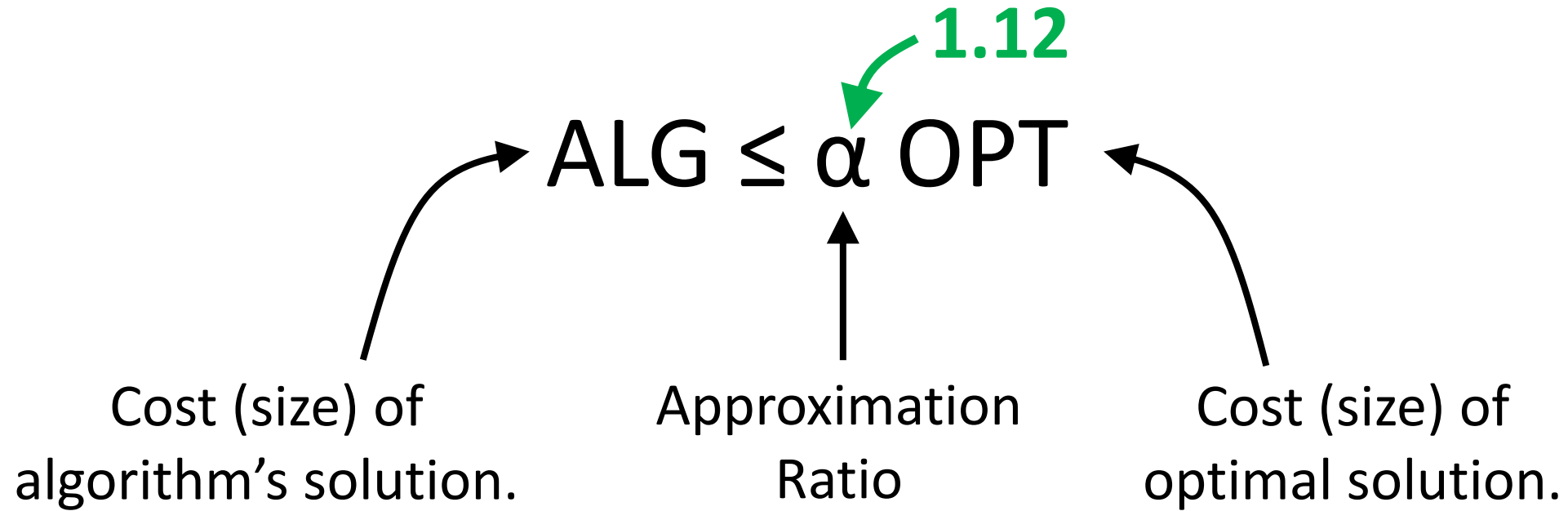
Approximation Ratio

Cost (size) of optimal solution.

Example:

- Suppose I know my algorithm is a 1.12-approximation algorithm.

Approximation Algorithms



Example:

- Suppose I know my algorithm is a 1.12-approximation algorithm.
- Suppose my algorithm returns a solution of cost 746.125.

Approximation Algorithms

The diagram shows the inequality $ALG \leq \alpha OPT$. A green arrow points from the value 1.12 to the Greek letter alpha (α). A black arrow points from the text 'Cost (size) of algorithm's solution.' to 'ALG'. Another black arrow points from the text 'Cost (size) of optimal solution.' to 'OPT'. A black arrow points from the text 'Approximation Ratio' to ' α '.

$$ALG \leq \alpha OPT$$

Cost (size) of algorithm's solution.

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- Suppose I know my algorithm is a 1.12-approximation algorithm.
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Then, I know that $746.125 \leq 1.12 OPT$

Approximation Algorithms

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Cost (size) of algorithm's solution. Approximation Ratio Cost (size) of optimal solution.

Example:

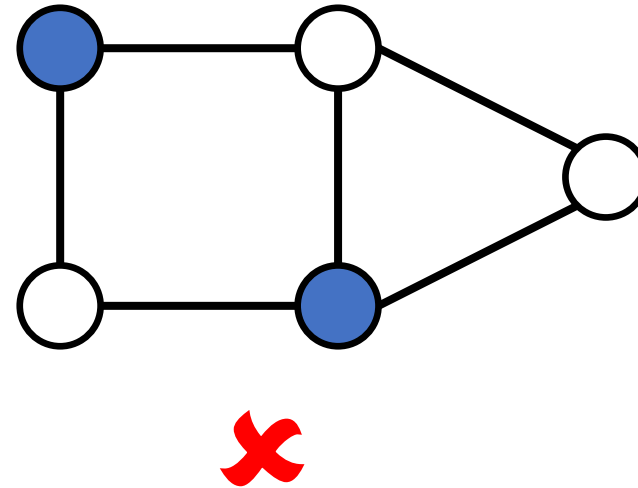
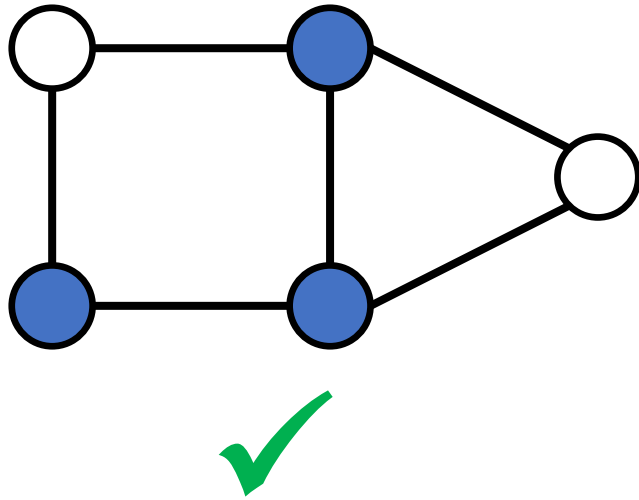
- Suppose I know my algorithm is a 1.12-approximation algorithm.
- Suppose my algorithm returns a solution of cost 746.125.

Then, I know that $746.125 \leq 1.12 OPT$

$$\Rightarrow \frac{746.125}{1.12} = 666.183 \leq OPT \leq 746.125$$

Vertex Cover

Vertex Cover: Given graph, find the smallest subset of vertices such that every edge in the graph has at least one vertex in the subset.



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Algorithm:

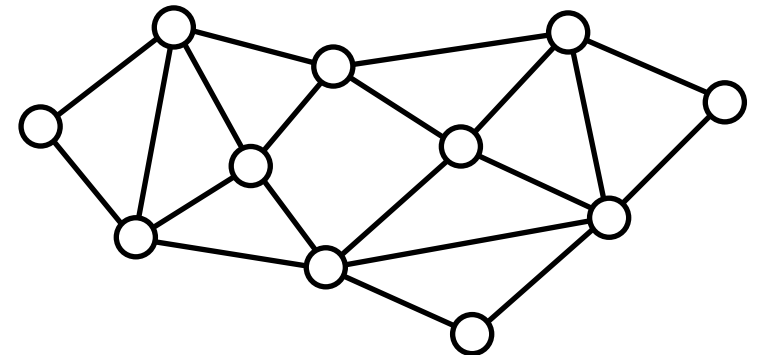
```
while uncovered edge exists  
    select both of its vertices
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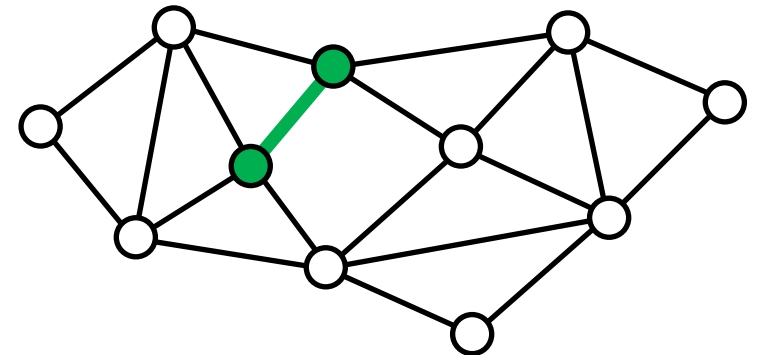


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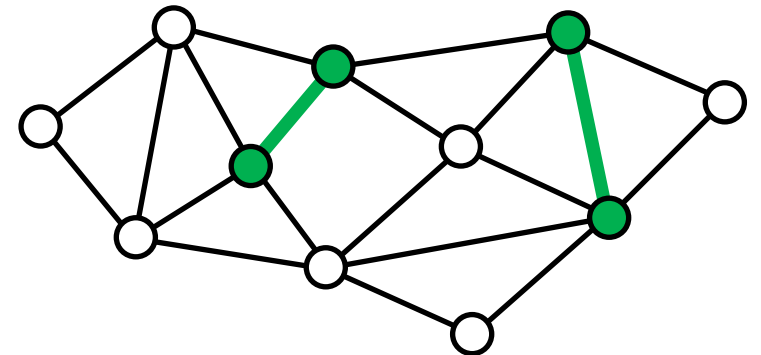


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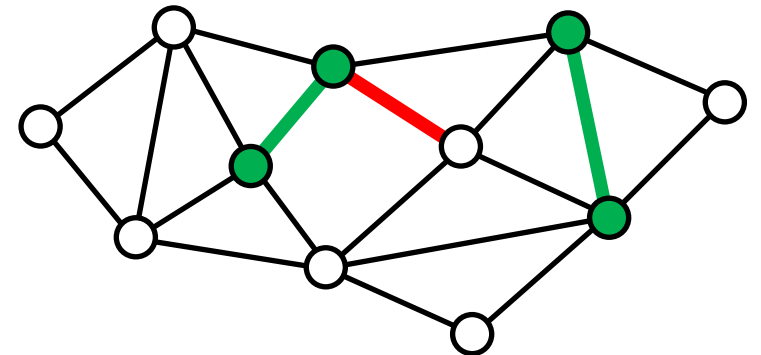


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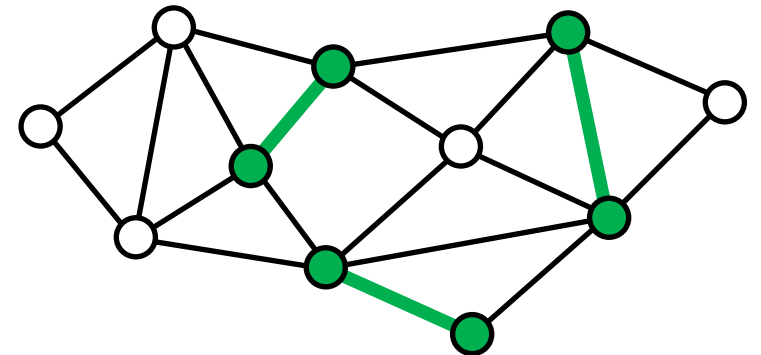


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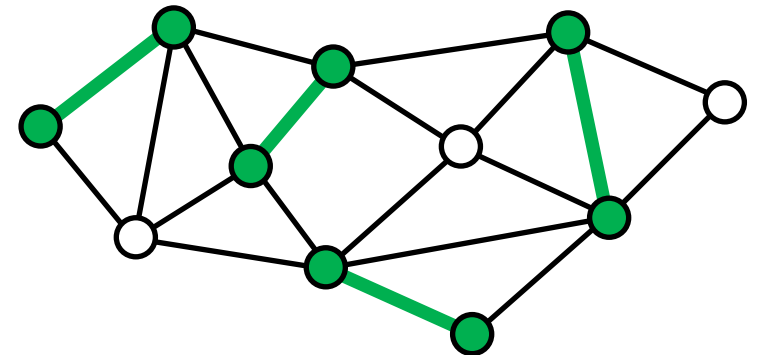


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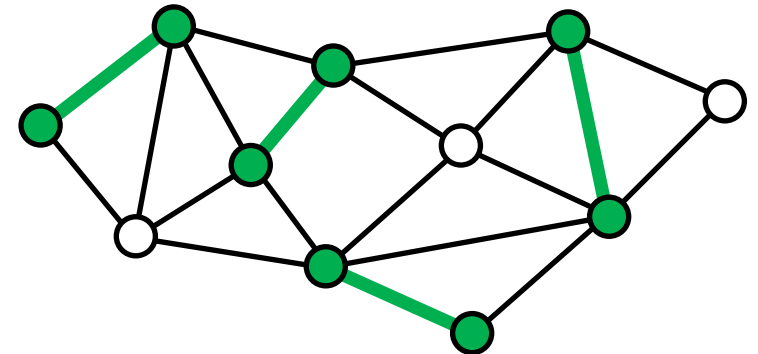
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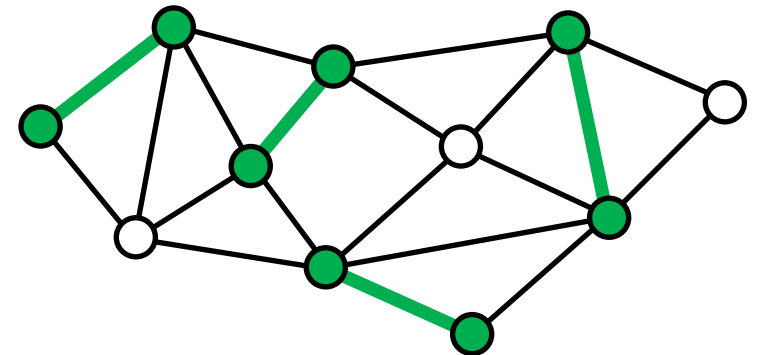
Consider a set of edges, $E' \subset E$, that do not share vertices.



Vertex Cover

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Consider a set of edges, $E' \subset E$, that do not share vertices. Is there a relationship between the minimum vertex cover and $|E'|$?



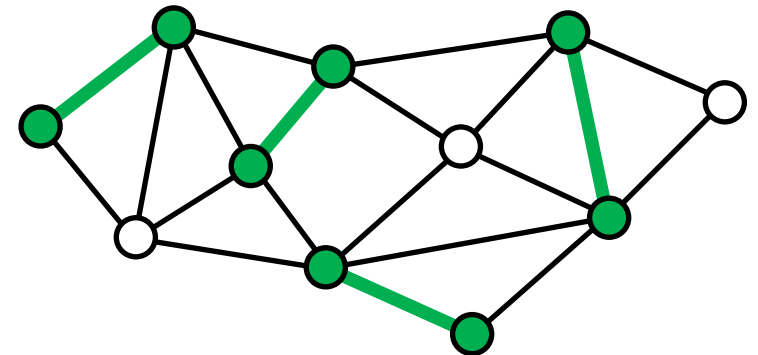
Vertex Cover

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    select both of its vertices
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Consider a set of edges, $E' \subset E$, that do not share vertices. Is there a relationship between the minimum vertex cover and $|E'|$?

$|E'| \leq \text{OPT}$  Size of actual smallest vertex cover.

If we selected fewer than one vertex per edge, we would not have a vertex cover, because that edge would not be covered!



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Does the size of the algorithm's output relate to a set of edges that do not share vertices?

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$$\text{ALG} = 2 |E'|$$

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$$\Rightarrow \text{ALG} = 2 |E'| \leq 2 \text{OPT} \Rightarrow \text{ALG} \leq 2 \text{OPT}$$

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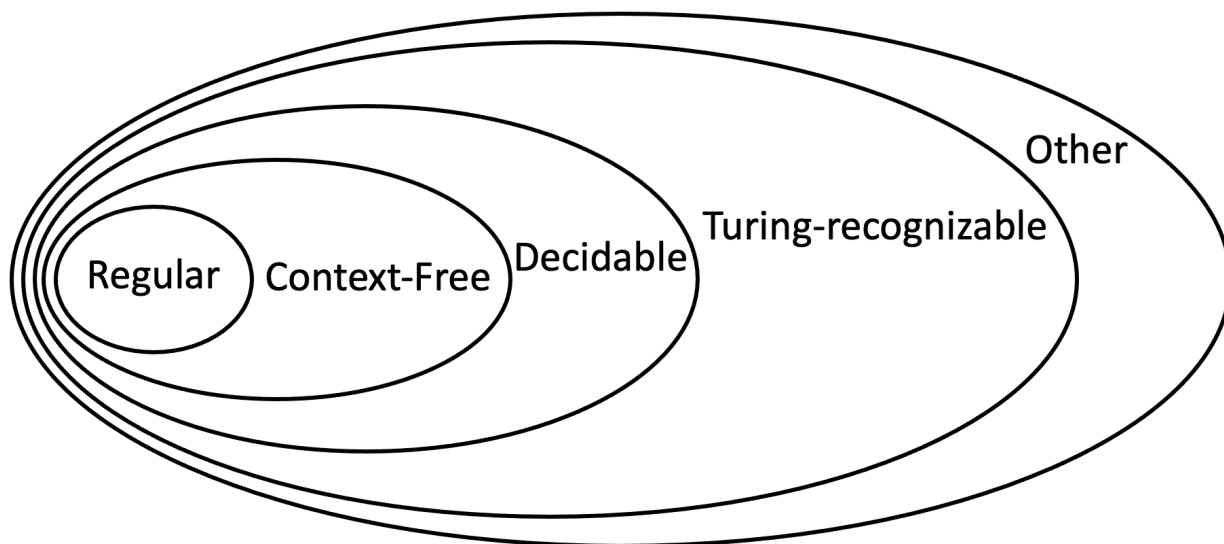
Consider a set of edges $E' \subseteq E$ that do not share vertices. Is there a relation between $|E'|$ and the size of an optimal vertex cover?

Does the algorithm find an optimal vertex cover?
do not share vertices?

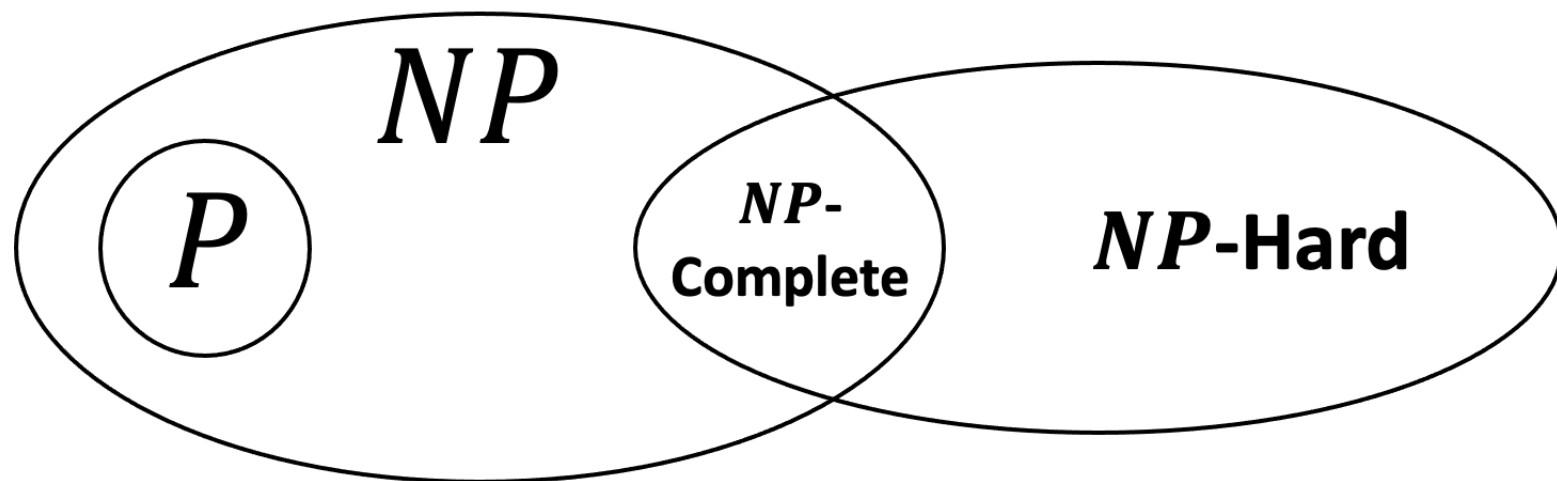
We cannot find optimal vertex covers in poly time unless $P = NP$, but this algorithm is at worst 2-times optimal.

$$\text{ALG} = 2 |E'|$$

$$\Rightarrow \text{ALG} = 2 |E'| \leq 2 \text{OPT} \Rightarrow \text{ALG} \leq 2 \text{OPT}$$

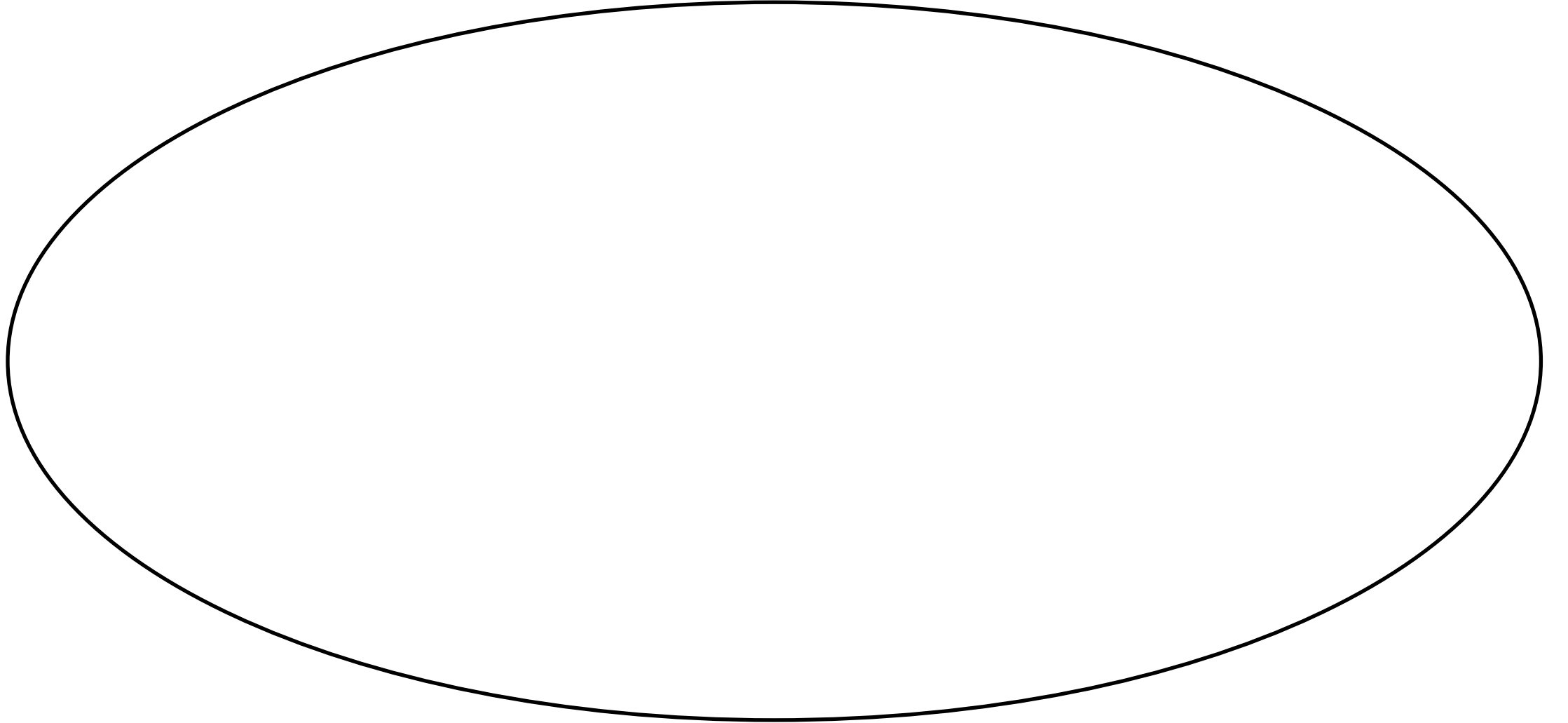


Computability Hierarchy

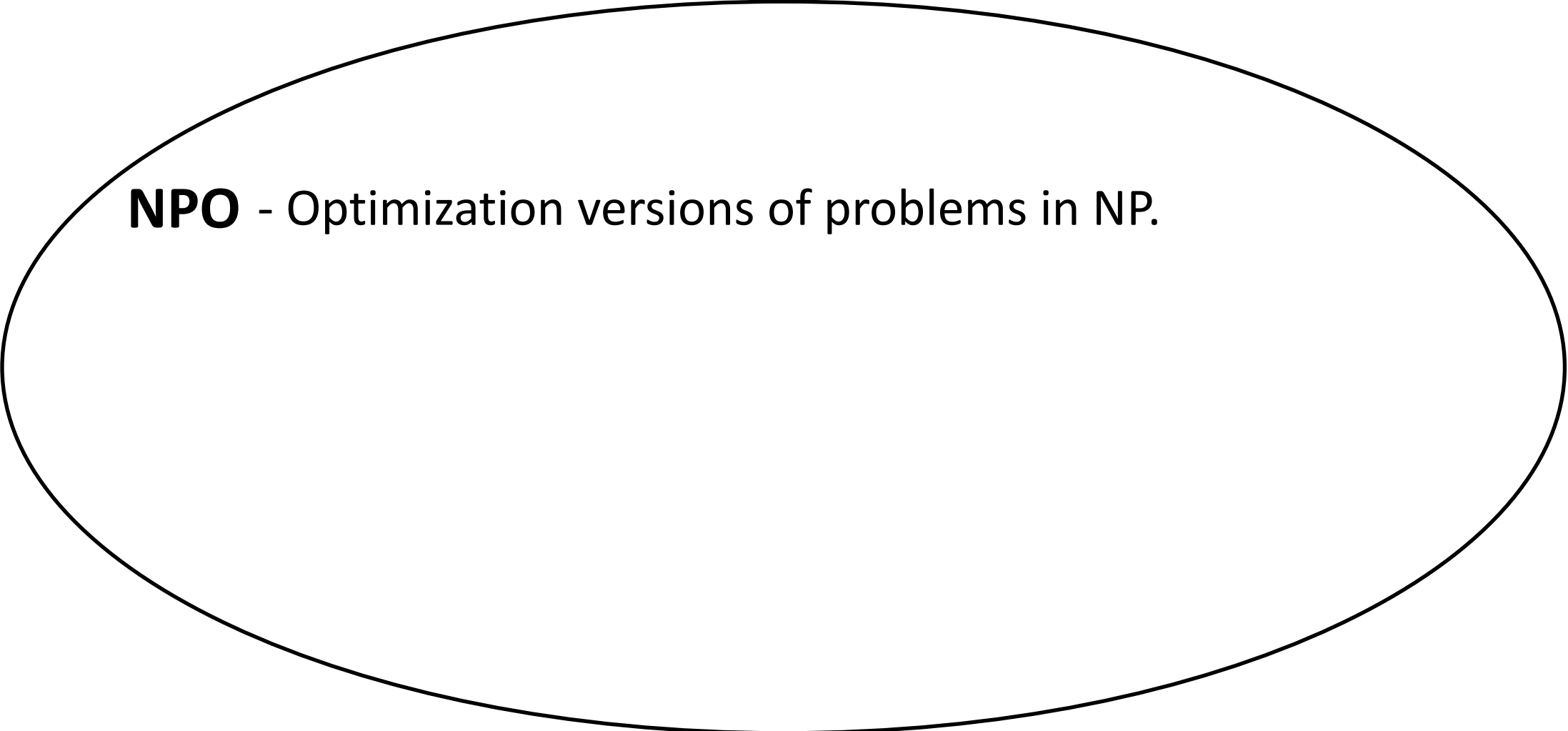


Complexity Hierarchy

Approximability Hierarchy

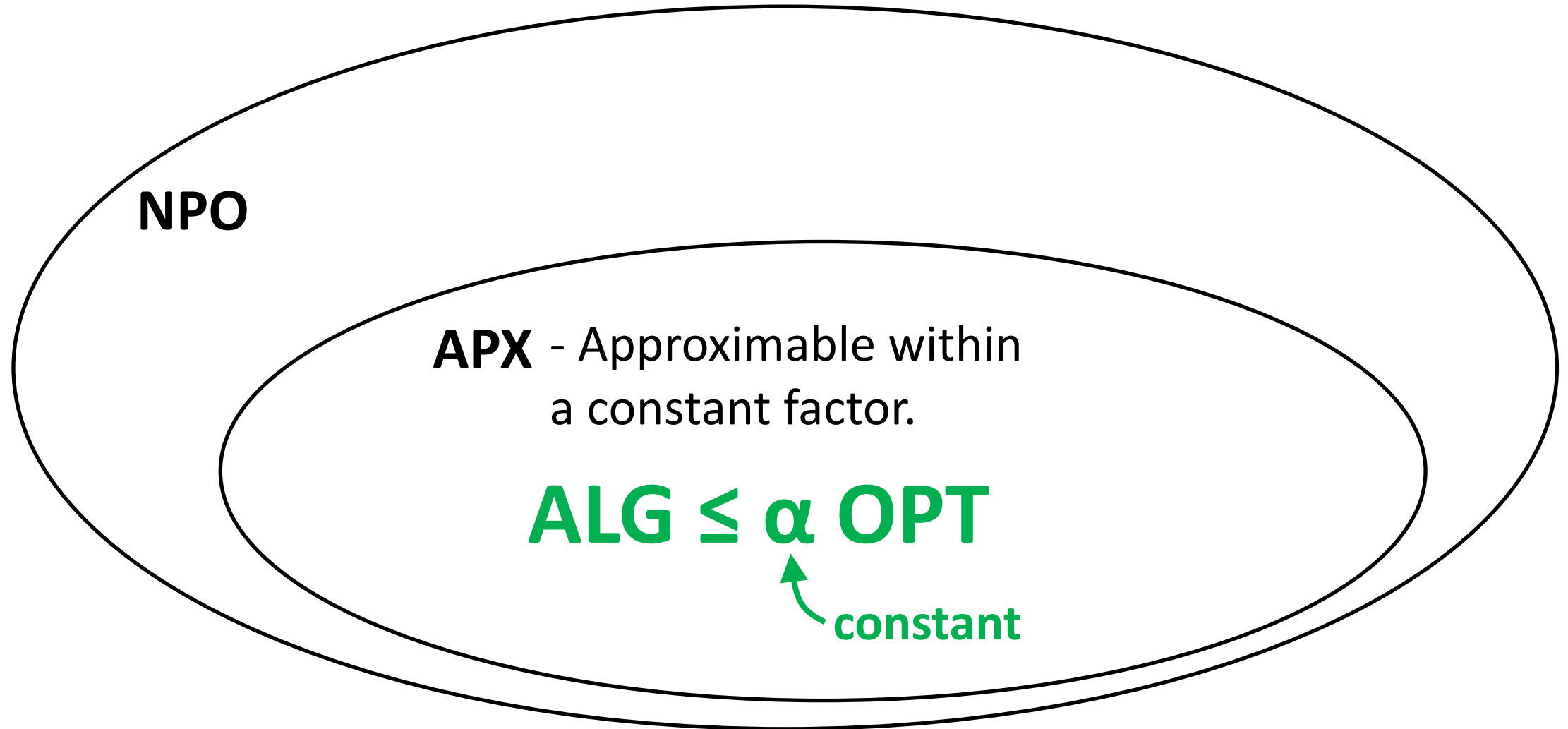


Approximability Hierarchy

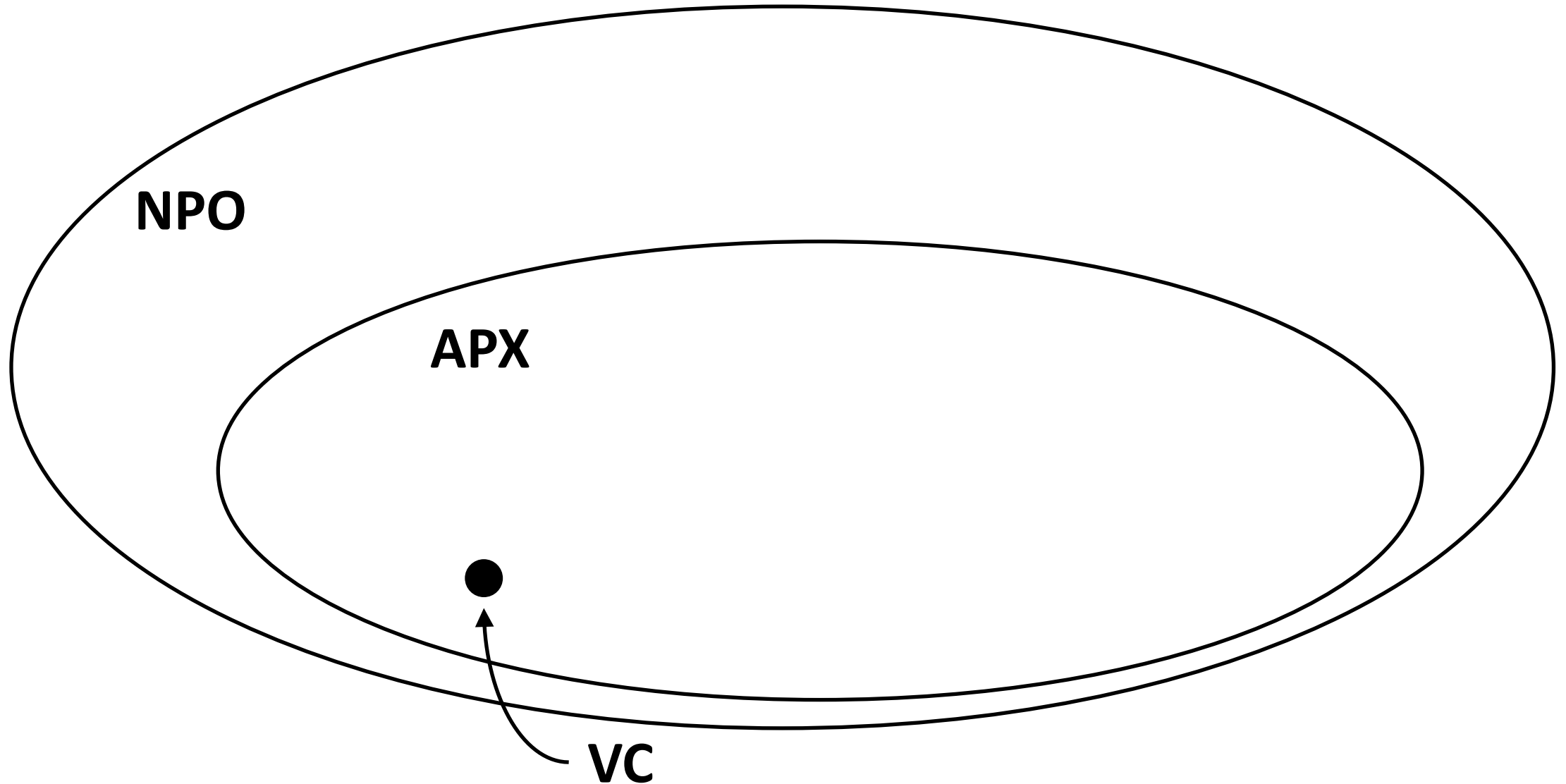


NPO - Optimization versions of problems in NP.

Approximability Hierarchy



Approximability Hierarchy



Set Cover

Set Cover: Given a set of elements (the universe), and sets containing those elements, find the smallest number of sets so that every element of the universe is included.

Example:

Set Cover

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Example:

$$U = \{1, 4, 7, 8, 10\}$$

$$S = \{\{1, 7, 8\}, \{1, 4, 7\}, \{7, 8\}, \{4, 8, 10\}\}$$

Set Cover

Set Cover: Given a set of elements (the universe), and sets containing those elements, find the smallest number of sets so that every element of the universe is included.

Example:

$$U = \{1, 4, 7, 8, 10\}$$

$$S = \{\{1, 7, 8\}, \{1, 4, 7\}, \{7, 8\}, \{4, 8, 10\}\}$$

$$\{\{1, 7, 8\}, \{4, 8, 10\}\}$$



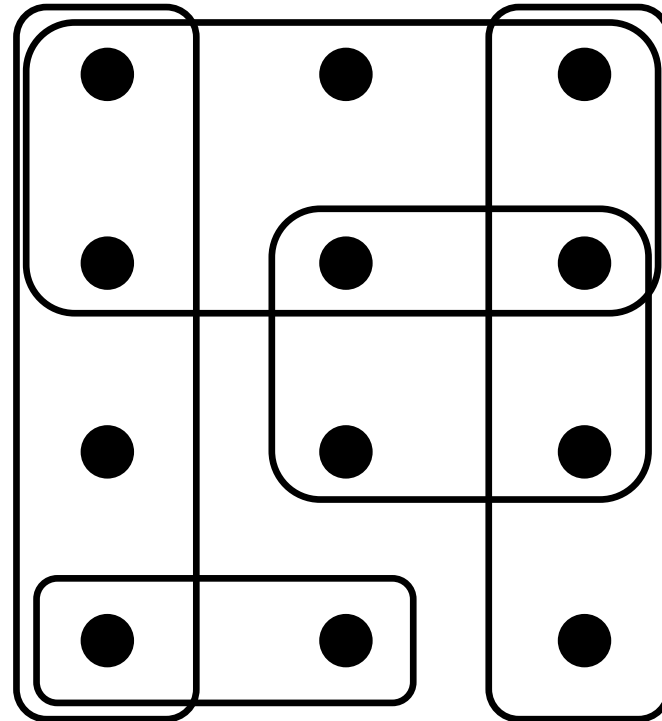
$$\{\{1, 4, 7\}, \{7, 8\}\}$$



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Set Cover

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Algorithm:

?

Set Cover

Set Cover: Given a set of elements (the universe), and sets containing those elements, find the smallest number of sets so that every element of the universe is included.

Greedy Algorithm:

```
while element of universe not included  
    select  $S_i$  with largest number of excluded elements.
```

Set Cover

Suppose the universe contains n elements.

Set Cover

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ALG = # sets selected by the algorithm to cover all n elements.

OPT = # sets in an optimal solution to cover all n elements.

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Suppose the universe contains n elements.

$$ALG \leq \alpha OPT$$

Game Plan:

Bound the maximum number of sets in ALG by...

Bounding the maximum number of iterations of the algorithm by...

Bounding the size of each set added by the algorithm.

Set Cover

ALG = # sets selected by the algorithm to cover all n elements.

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Suppose the universe contains n elements.

What can we say about the first set selected?

Set Cover

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Suppose the universe contains n elements.

What can we say about the first set selected?

It's the biggest!

At each iteration, we cover the largest number of uncovered elements, and all the elements are uncovered in the first iteration.

Set Cover

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Suppose the universe contains n elements.

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$$? \leq |\mathbf{Biggest\ Set}| \leq ?$$

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Which do we care about?



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$$? \leq |\text{Biggest Set}| \leq ?$$

 **Guarantee we do
at least this good.**

 **Guarantee we don't
do better than this.**

Set Cover

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Suppose the universe contains n elements.

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$$\frac{n}{OPT} \leq |\text{Biggest Set}|$$

Why?

Set Cover

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What if each set had fewer than $\frac{n}{OPT}$ elements in it?

Then OPT of those sets would cover fewer than n elements: